



Liveness Analysis and Register Allocation

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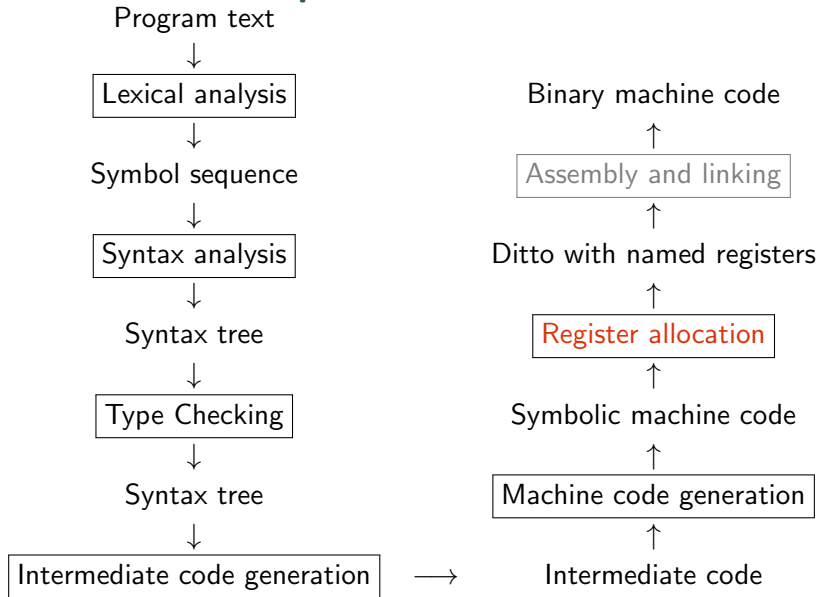
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Structure of a Compiler



- 1 Problem Statement and Intuition
- 2 Liveness-Analysis Preliminaries: *Succ*, *Gen* and *Kill* Sets
- 3 Liveness Analysis: Equations, Fix-Point Iteration and Interference
- 4 Register-Allocation via Coloring: Interference Graph & Intuitive Alg
- 5 Register-Allocation via Coloring: Improved Algorithm with Spilling

Problem Statement

Processors have a limited number of registers:

X86: 8 (integer) registers,

ARM: 16 (integer) registers,

MIPS: 31 (integer) registers.

In addition, 3 – 4 special-purpose registers (can't hold variables).

Solution:

- Whenever possible, let several variables share the same register,
- If there are still variables that cannot be mapped to a register, store them in memory.

Where to Implement Register Allocation?

Two possibilities: at IL or at machine-language level. **Pro/Cons?**

Where to Implement Register Allocation?

Two possibilities: at IL or at machine-language level. **Pro/Cons?**

- IL Level:

- + Can be shared between multiple architectures (parameterized on the number of registers).
- Translation to machine code can introduce/remove intermediate results.

- Machine-Code Level:

- + Accurate, near-optimal mapping.
- Implemented for every architecture, no code reuse.

We show register allocation at IL level. Similar for machine code.

Register-Allocation Scope

- Code Sequence Without Jumps:
 - + Simple.
 - A variable is saved to memory when jumps occur.
- Procedure/Function Level:
 - + Variables can still be in registers even across jumps.
 - A bit more complicated.
 - Variables saved to memory before function calls.
- Module/Program Level:
 - + Sometimes variables can still be hold in registers across function calls (but not always: recursion).
 - More complicated alg of higher time complexity.

Most compilers implement register allocation at function level.

When Can Two Variables Share a Register?

Intuition: Two vars can share a register if the two variables do not have overlapping *periods of use*.

Period of Use: From var's assignment to the last use of the assigned value. A variable can have several periods of use (*live ranges*).

Liveness: If a variable's value may be used on the continuation of an execution path passing through program point PP, then the variable is *live* at PP. Otherwise: *dead* at PP.

When Can Two Variables Share a Register?

With the code below, can variables `a` and `c` share the same register?

```
a := 1
c := a + 1
a := c + 3
a := a + 2
```

(a) TRUE

(b) FALSE

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Prioritized Rules for Liveness

- 1) If a variable, VAR, is used, i.e., its value, in an instruction, I, then VAR is *live* at the entry of I.
- 2) If VAR is assigned a value in instruction I (and 1) does not apply) then VAR is *dead* at the entry of I.
- 3) If VAR is *live* at the end of instruction I then it is live at the entry of I (unless 2) applies).
- 4) A VAR is *live* at the end of instruction I \Leftrightarrow VAR is *live* at the entry of any instructions that may be executed immediately after I, i.e., immediate successors of I.

Liveness-Analysis Concepts

We number program instructions from 1 to n .

For each instruction we define the following sets:

$succ[i]$: The instructions (numbers) that can possibly be executed immediately after instruction (numbered) i .

$gen[i]$: The set of variables whose values are read by instruct i .

$kill[i]$: The set of variables that are overwritten by instruction i .

$in[i]$: The set of variables that are live at the entry of instrct i .

$out[i]$: The set of variables that are live at the end of instruct i .

In the end, what we need is $out[i]$ for all instructions.

Immediate Successors

- $\text{succ}[i] = \{i + 1\}$ unless instruction i is a GOTO, an IF-THEN-ELSE, or the last instruction of the program.
- $\text{succ}[i] = \{j\}$, if instruction i is: GOTO l
and instruction j is: LABEL l .
- $\text{succ}[i] = \{j, k\}$, if instruction i is IF c THEN l_1 ELSE l_2 ,
instruction j is LABEL l_1 , and instruction k is LABEL l_2 .
- If n denotes the last instruction of the program, and n is not a GOTO or an IF-THEN-ELSE instruction, then $\text{succ}[n] = \emptyset$.

Note: Programs always exit by executing a RETURN instruction.

Rules for Constructing *gen* and *kill* Sets

Below k denotes a constant (value), $M[\dots]$ denotes memory access.

Instruction i	$gen[i]$	$kill[i]$
LABEL l	\emptyset	\emptyset
$x := y$	$\{y\}$	$\{x\}$
$x := k$	\emptyset	$\{x\}$
$x := \mathbf{unop} \ y$	$\{y\}$	$\{x\}$
$x := \mathbf{unop} \ k$	\emptyset	$\{x\}$
$x := y \ \mathbf{binop} \ z$	$\{y, z\}$	$\{x\}$
$x := y \ \mathbf{binop} \ k$	$\{y\}$	$\{x\}$
$x := M[y]$	$\{y\}$	$\{x\}$
$x := M[k]$	\emptyset	$\{x\}$
$M[x] := y$	$\{x, y\}$	\emptyset
$M[k] := y$	$\{y\}$	\emptyset
GOTO l	\emptyset	\emptyset
IF $x \ \mathbf{relop} \ y$ THEN l_t ELSE l_f	$\{x, y\}$	\emptyset
$x := \mathbf{CALL} \ f(\mathit{args})$	args	$\{x\}$

Gen & Kill Sets Multiple-Choice

The *kill* and *gen* sets of instruction $x := a + x$ are:

- (A) $kill = \emptyset$, $gen = \{a\}$
- (B) $kill = \{x\}$, $gen = \{a, x\}$
- (C) $kill = \{a, x\}$, $gen = \{x\}$
- (D) $kill = \{a\}$, $gen = \emptyset$
- (E) $kill = \{x\}$, $gen = \{x\}$

Gen & Kill Sets Multiple-Choice

The *kill* and *gen* sets of instruction $M[i] := i + a$ are:

- (A) $kill = \emptyset, \quad gen = \{a\}$
- (B) $kill = \{i\}, \quad gen = \{a, i\}$
- (C) $kill = \{a, i\}, \quad gen = \{i\}$
- (D) $kill = \{i\}, \quad gen = \{a\}$
- (E) $kill = \emptyset, \quad gen = \{i, a\}$

Successors Multiple-Choice Question

With the code below, which of the following statements is TRUE?

```
1.      x := 0
2.      IF x = 0 THEN lab1 ELSE lab2
3.  Label lab1:
4.      x := 3
5.      GOTO lab3
6.  Label lab2:
7.      x := 4
8.  Label lab3:
```

- (A) $\text{succ}[2] = \{3, 6, 8\}$ and $\text{succ}[4] = \{5\}$
- (B) $\text{succ}[2] = \{3\}$ and $\text{succ}[5] = \{6\}$
- (C) $\text{succ}[2] = \{3\}$ and $\text{succ}[1] = \{2\}$
- (D) $\text{succ}[2] = \{3, 6\}$ and $\text{succ}[5] = \{8\}$
- (E) $\text{succ}[3] = \{2\}$ and $\text{succ}[6] = \{2\}$

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Data-Flow Equation for Liveness Analysis

- 4) A VAR is *live* at the end of instruction $I \Leftrightarrow$ VAR is *live* at the entry of any instructions that may be executed immediately after I , i.e., immediate successors of I .

The CORRECT Equation for $out[i]$ is:

- (A) $out[i] = in[i]$
- (B) $out[i] = \bigcup_{j \in succ[i]} in[j]$
- (C) $out[i] = gen[i] \cup (\bigcup_{j \in succ[i]} in[j])$
- (D) $out[i] = in[i] \setminus (\bigcup_{j \in succ[i]} in[j])$
- (E) $out[i] = (gen[i] \cup out[i]) \setminus kill[i]$

Recall that \setminus indicates set difference, i.e. $A \setminus B$ is the set of elements of A that are not in B .

Data-Flow Equation for Liveness Analysis

- 1) If a variable, VAR, is used, i.e., its value, in an instruction, I, then VAR is *live* at the entry of I.
- 2) If VAR is assigned a value in instruction I (and 1) does not apply) then VAR is *dead* at the entry of I.
- 3) If VAR is *live* at the end of instruction I then it is live at the entry of I (unless 2) applies).

The CORRECT Equation for $in[i]$ is:

(A) $in[i] = gen[i] \cup out[i]$

(B) $in[i] = gen[i] \setminus kill[i]$

(C) $in[i] = gen[i] \cup (out[i] \setminus kill[i])$

(D) $in[i] = (gen[i] \setminus kill[i]) \cup out[i]$

(E) $in[i] = (gen[i] \cup out[i]) \setminus kill[i]$

Data-Flow Equations for Liveness Analysis

$$in[i] = gen[i] \cup (out[i] \setminus kill[i]) \quad (1)$$

$$out[i] = \bigcup_{j \in succ[i]} in[j] \quad (2)$$

Exception: If $succ[i] = \emptyset$, then $out[i]$ is the set of variables that appear in the function's result.

The (recursive) equations are solved by iterating to a fix point: $in[i]$ and $out[i]$ are initialized to \emptyset , and iterate until no changes occur.

Why does it converge?

For fast(er) convergence: compute $out[i]$ before $in[i]$ and $in[i + 1]$ before $out[i]$, respectively (i.e., **backward flow analysis**).

Imperative-Fibonacci Example

```

fibonacci(n):
1:  a := 0
2:  b := 1
3:  z := 0
4:  LABEL loop
5:  IF n = z THEN end ELSE body
6:  LABEL body
7:  t := a + b
8:  a := b
9:  b := t
10: n := n - 1
11: z := 0
12: GOTO loop
13: LABEL end

```

<i>i</i>	<i>succ</i> [<i>i</i>]	<i>gen</i> [<i>i</i>]	<i>kill</i> [<i>i</i>]
1	2		<i>a</i>
2	3		<i>b</i>
3	4		<i>z</i>
4	5		
5	6, 13	<i>n, z</i>	
6	7		
7	8	<i>a, b</i>	<i>t</i>
8	9	<i>b</i>	<i>a</i>
9	10	<i>t</i>	<i>b</i>
10	11	<i>n</i>	<i>n</i>
11	12		<i>z</i>
12	4		
13			

We omitted RETURN *a*. Means $out[i] = \{a\}$ result used after fct call.

Imperative-Fibonacci Example

```

fibonacci(n):
1:  a := 0
2:  b := 1
3:  z := 0
4:  LABEL loop
5:  IF n = z THEN end ELSE body
6:  LABEL body
7:  t := a + b
8:  a := b
9:  b := t
10: n := n - 1
11: z := 0
12: GOTO loop
13: LABEL end

```

<i>i</i>	<i>succ</i> [<i>i</i>]	<i>gen</i> [<i>i</i>]	<i>kill</i> [<i>i</i>]
1	2		<i>a</i>
2	3		<i>b</i>
3	4		<i>z</i>
4	5		
5	6, 13	<i>n, z</i>	
6	7		
7	8	<i>a, b</i>	<i>t</i>
8	9	<i>b</i>	<i>a</i>
9	10	<i>t</i>	<i>b</i>
10	11	<i>n</i>	<i>n</i>
11	12		<i>z</i>
12	4		
13			

We omitted RETURN *a*. Means $out[i] = \{a\}$ result used after fct call.

Fix-Point Iteration for the Fibonacci Example

Use backwards evaluation order: $out[14]$, $in[14]$, ..., $out[1]$, $in[1]$.

i	Initial		Iteration 1		Iteration 2		Iteration 3	
	$out[i]$	$in[i]$	$out[i]$	$in[i]$	$out[i]$	$in[i]$	$out[i]$	$in[i]$
1			n, a	n	n, a	n	n, a	n
2			n, a, b	n, a	n, a, b	n, a	n, a, b	n, a
3			n, z, a, b	n, a, b	n, z, a, b	n, a, b	n, z, a, b	n, a, b
4			n, z, a, b	n, z, a, b	n, z, a, b	n, z, a, b	n, z, a, b	n, z, a, b
5			a, b, n	n, z, a, b	a, b, n	n, z, a, b	a, b, n	n, z, a, b
6			a, b, n	a, b, n	a, b, n	a, b, n	a, b, n	a, b, n
7			b, t, n	a, b, n	b, t, n	a, b, n	b, t, n	a, b, n
8			t, n	b, t, n	t, n, a	b, t, n	t, n, a	b, t, n
9			n	t, n	n, a, b	t, n, a	n, a, b	t, n, a
10				n	n, a, b	n, a, b	n, a, b	n, a, b
11					n, z, a, b	n, a, b	n, z, a, b	n, a, b
12					n, z, a, b	n, z, a, b	n, z, a, b	n, z, a, b
13			a	a	a	a	a	a

More Multiple Choice Questions

If a formal parameter p is NOT LIVE at the entry point of a function, then it means that:

- (A) the original value of p is never used, e.g., p is redefined before being used or is never used.
- (B) parameter p may be used before being written/updated.
- (C) parameter p is only written inside the function and never read
- (D) parameter p is only read inside the function and never written
- (E) parameter p is read-and-written in all instructions in which it appears, i.e., $p := p + x$

More Multiple Choice Questions

If a variable a , which is NOT a formal argument, is LIVE at the entry point of a function, then it means that:

- (A) a is only read inside the function
- (B) a may be used without being initialized
- (C) a is only written inside the function
- (D) a will certainly be used before being initialized
- (E) a is read-and-written in all instructions in which it appears, i.e.,
 $a := a + x$

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Interference

Definition: Variable x **interferes** with variable y , if there is an instruction numbered i such that:

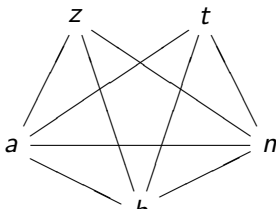
- 1 $x \in kill[i]$ and
- 2 $y \in out[i]$ and
- 3 $x \neq y$ and
- 4 If instruction i is $x := y$ then x does not interfere with y
(but it interferes with any other variable in $out[i]$)

Two variables can share the same register iff they do not interfere with each other!

Interference for the Fibonacci Example

Instruction	Left-hand side	Interferes with	$out(i)$
1 : $a := 0$	a	n	n, a
2 : $b := 1$	b	n, a	n, a, b
3 : $z := 0$	z	n, a, b	n, z, a, b
7 : $t := a + b$	t	b, n	a, b, n
8 : $a := b$	a	t, n	t, b, n
9 : $b := t$	b	n, a	t, n, a
10 : $n := n - 1$	n	a, b	n, a, b
11 : $z := 0$	z	n, a, b	n, z, a, b

Since interference is a symmetric and non-reflexive relation, we can draw interference as a (undirected) graph:



Register Allocation By Graph Coloring

Two variables connected by an edge in the interference graph cannot share a register!

Idea: Associate variables with register numbers such that:

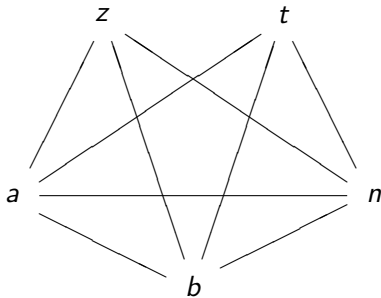
- 1 Two variables connected by an edge receive different numbers.
- 2 Numbers represent the (limited number of) hardware registers.

Equivalent to *graph-coloring problem*: color each node with one of n (available) colors, such that any two neighbors are colored differently.

Since **graph coloring is NP complete**, we use a **heuristic method** that gives good results in most cases.

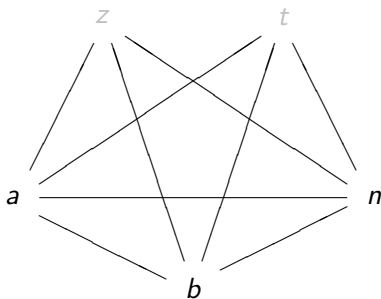
Idea: a node with less-than- n neighbors can always be colored.
Eliminate such nodes from the graph and solve recursively!

Coloring The Graph With Four Colors



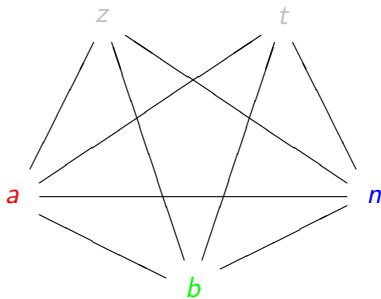
z and t have only three neighbors so they can wait.

Coloring The Graph With Four Colors



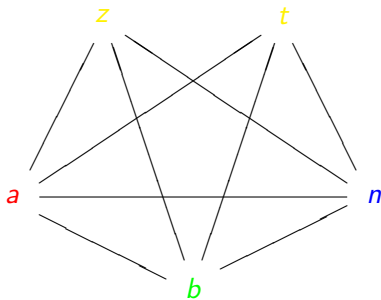
The remaining three nodes can now be given different colors!

Coloring The Graph With Four Colors



z and t can now be given a different color!

Coloring The Graph With Four Colors



But what if we only have three colors (registers) available?

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Improved Algorithm

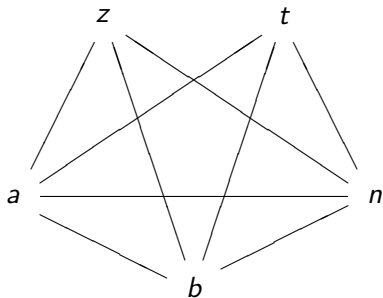
Initialization: Start with an empty stack.

- Simplify:**
- 1) If there is a node with less than n edges (neighbors):
(i) place it on the stack together with the list of edges, and (ii) remove it and its edges from the graph.
 2. If there is no node with less than n neighbors, pick any node and do as above.
 3. Continue until the graph is empty. If so go to *select*.

- Select:**
1. Take a node and its neighbor list from the stack.
 2. If possible, color it differently than its neighbor's.
 3. If not possible, select the node for *spilling* (fails).
 4. Repeat until stack is empty.

The quality of the result depends on (i) how to chose a node in *simplify*, and (ii) how to chose a color in *select*.

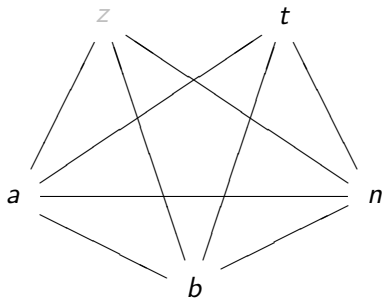
Example: Coloring the Graph with Three Colors



No node has < 3 neighbors, hence choose arbitrarily, say z .

Node	Neighbours	Color
z	a, b, n	

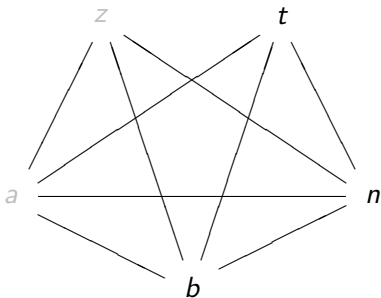
Example: Coloring the Graph with Three Colors



There are still no nodes with < 3 neighbors, hence we chose a .

Node	Neighbours	Color
a	b, n, t	
z	a, b, n	

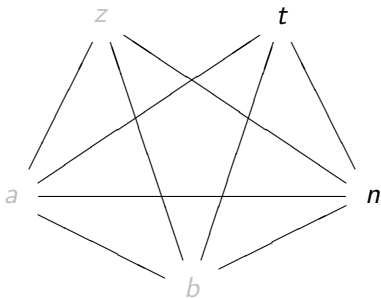
Example: Coloring the Graph with Three Colors



b has two neighbors, so we choose it.

Node	Neighbours	Color
b	t, n	
a	b, n, t	
z	a, b, n	

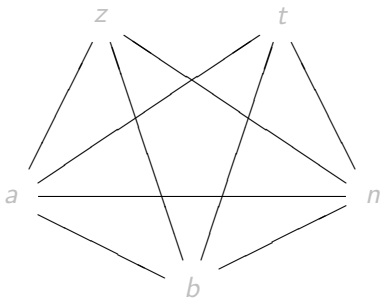
Example: Coloring the Graph with Three Colors



Finally, choose t and n .

Node	Neighbours	Color
n		
t	n	
b	t, n	
a	b, n, t	
z	a, b, n	

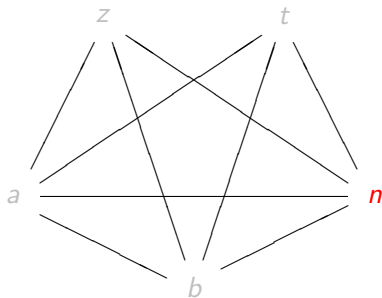
Example: Coloring the Graph with Three Colors



n has no neighbors so we can choose **1**.

Node	Neighbours	Color
n		1
t	n	
b	t, n	
a	b, n, t	
z	a, b, n	

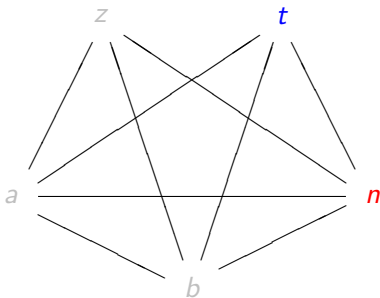
Example: Coloring the Graph with Three Colors



t only has n as neighbor, so we can color it with 2.

Node	Neighbours	Color
n		1
t	n	2
b	t, n	
a	b, n, t	
z	a, b, n	

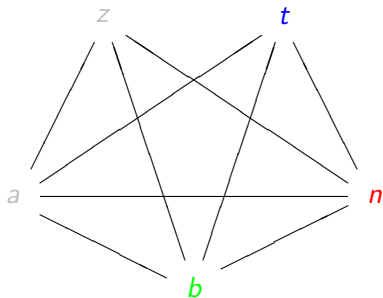
Example: Coloring the Graph with Three Colors



b has t and n as neighbors, hence we can color it with **3**.

Node	Neighbours	Color
n		1
t	n	2
b	t, n	3
a	b, n, t	
z	a, b, n	

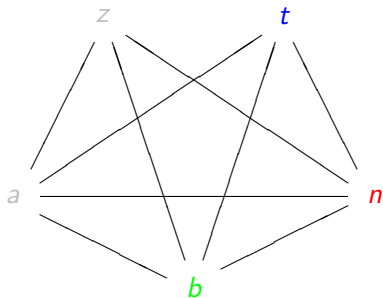
Example: Coloring the Graph with Three Colors



a has three differently-colored neighbors, so it is marked as *spill*.

Node	Neighbours	Color
n		1
t	n	2
b	t, n	3
a	b, n, t	<i>spill</i>
z	a, b, n	

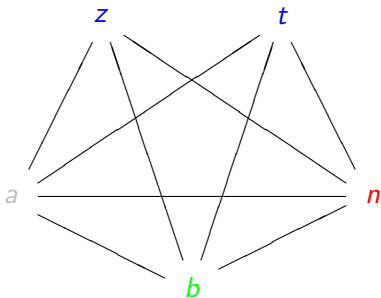
Example: Coloring the Graph with Three Colors



z has colors **1** and **3** as neighbors, hence we can color it with **2**.

Node	Neighbours	Color
n		1
t	n	2
b	t, n	3
a	b, n, t	<i>spill</i>
z	a, b, n	2

Example: Coloring the Graph with Three Colors



We are now finished, but we need to *spill* a .

Node	Neighbours	Color
n		1
t	n	2
b	t, n	3
a	b, n, t	<i>spill</i>
z	a, b, n	2

Spilling

Spilling means that some variables will reside in memory (except for brief periods). For each spilled variable:

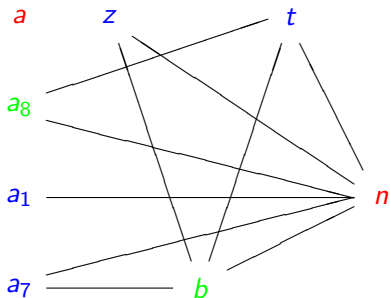
- 1) Select a memory address $addr_x$, where the value of x will reside.
- 2) If instruction i uses x , then rename it locally to x_i .
- 3) Before an instruction i , which reads x_i , insert $x_i := M[addr_x]$.
- 4) After an instruction i , which updates x_i , insert $M[addr_x] := x_i$.
- 5) If x is alive at the beginning of the function/program, insert $M[addr_x] := x$ before the first instruction of the function.
- 6) If x is live at the end of the program/function, insert $x := M[addr_x]$ after the last instruction of the function.

Finally, perform liveness analysis and register allocation again.

Spilling Example

```
1:   $a_1 := 0$   
     $M[\text{address}_a] := a_1$   
2:   $b := 1$   
3:   $z := 0$   
4:  LABEL loop  
5:  IF  $n = z$  THEN end ELSE body  
6:  LABEL body  
     $a_7 := M[\text{address}_a]$   
7:   $t := a_7 + b$   
8:   $a_8 := b$   
     $M[\text{address}_a] := a_8$   
9:   $b := t$   
10:  $n := n - 1$   
11:  $z := 0$   
12: GOTO loop  
13: LABEL end  
     $a := M[\text{address}_a]$ 
```


After Spilling, Coloring Succeeds!



Heuristics

For **Simplify**: when choosing a node with $\geq n$ neighbors:

- Choose the node with fewest neighbors, which is more likely to be colorable, or
- Choose a node with many neighbors, each of them having close to n neighbors, i.e., spilling this node would allow the coloring of its neighbors.

For **Select**: when choosing a color:

- Choose colors that have already been used.
- If instructions such as $x := y$ exist, color x and y with the same color, i.e., eliminate this instruction.