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Deterministic and Non-deterministic Finite Automata (DFA and NFA) comprise the core of many big data 3 applications. Recent efforts to develop Domain-Specific Architectures (DSAs) for DFA/NFA have taken diver-4 gent approaches, but achieving consistent throughput for arbitrarily-large pattern sets, state activation rates, 5 and pattern match rates remains a challenge. In this article, we present NAPOLY (Non-Deterministic Au-6 tomata Processor OverLaY), an FPGA overlay and associated compiler. A common limitation of prior efforts 7 8 is a limit on NFA size for achieving the advertised throughput. NAPOLY is optimized for fast re-programming 9 to permit practical time-division multiplexing of the hardware and permit high asymptotic throughput for NFAs of unlimited size, unlimited state activation rate, and high pattern reporting rate. NAPOLY also allows 10 for offline generation of configurations having tradeoffs between state capacity and transition capacity. In this 11 article, we (1) evaluate NAPOLY using benchmarks packaged in the ANMLZoo benchmark suite, (2) evaluate 12 the use of an SAT solver for allocating physical resources, and (3) compare NAPOLY's performance against 13 existing solutions. NAPOLY performs most favorably on larger benchmarks, benchmarks with higher state 14 activation frequency, and benchmarks with higher reporting frequency. NAPOLY outperforms the fastest of 15 the CPU and GPU implementations in 10 out of 12 benchmarks. 16 17  $\label{eq:ccs} \text{CCS Concepts:} \bullet \textbf{Computing methodologies} \rightarrow \textbf{Parallel computing methodologies}; \textbf{Concurrent com-}$ 

 Puting methodologies; • FPGA, automata processing, FPGA overlay, pattern matching;
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### **1 INTRODUCTION**

Pattern-based datasets such as genomic sequences, item-sets, web data, and network packets are 26 growing rapidly in size and complexity. Identifying complex patterns are often involved in ap-27 plications such as motif discovery [28], de novo genomic assembly [24], web-search and rank-28 ing [4], question answering systems [8, 23], compression in NoSQL systems [18, 25], approximate 29 string matching [13], calculating the edit distance between two genomic sequences [31], signature-30 based threat detection [6], association rule mining [12], and data-packet inspection [6]. Such pat-31 tern matching computations are often reducible to the simulation of either Deterministic Finite 32 Automata (DFA) or Non-deterministic Finite Automata(NFA). 33

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DFA allows only one active state at any time, and the DFA structure must contain a state corresponding to every possible partial match of every pattern to be accepted. An NFA, on the other hand, allows an arbitrary number of active states and thus allows multiple next-state functions to operate concurrently.

Although pattern matching problems can be solved equivalently using either a DFA or NFA, neither can be efficiently performed on a CPU. DFAs require extremely large transition tables with an unpredictable access pattern, while NFAs require memory bandwidth that scales with state activation rate, often becoming memory bound. For this reason, there is widespread interest in **Domain-Specific Architectures (DSA)** that can efficiently exploit the NFA parallelism.

43 Automata processor DSAs are generally evaluated by their achieved symbol throughput but 44 the reported values often assume that the entire NFA fits in on-chip memory. This is equivalent 45 to evaluating a memory system using the bandwidth of only its on-chip cache. Such systems may 46 require milliseconds [3] to seconds [2, 20, 22, 36] to reprogram, making rapid context switching 47 impractical.

### 48 2 PRIOR WORK

Previous work in automata matching DSA architectures have been deployed on both Field-Programmable Gate Arrays (FPGA) and Application-Specific Integrated Circuits (ASICs). Most of these can achieve high traversal throughput of one or two input symbols per clock cycle but processing NFAs that are too large to fit in device memory requires multiple passes of the input stream. The latency of reprogramming between consecutive passes is often a significant performance limiter. This is the main challenge NAPOLY was designed to address.

55 When using FPGAs, the patterns are often synthesized directly onto the FPGA fabric as logic 56 circuits, allowing high pattern density and high throughput (100s of MB/s). However, even if the 57 logic is pre-synthesized offline, the fine granularity of reconfiguration requires long reconfigura-58 tion times of 10s of seconds making it impractical to time-multiplex the hardware [2, 20, 22, 36].

On the other hand, ASIC-based architectures such as the **Micron Automata Processor** (**Micron AP**), allow the input data to be streamed into multiple functional units where each functional unit tracks partial pattern matches. Such designs allow for faster re-configuration time than FPGA-based approaches since only a more compact, abstract form of the patterns need to be loaded. However, their fixed structure lacks the ability to leverage the patterns themselves to make design tradeoffs, i.e., trade between state density and transition density in the corresponding automata [3, 20].

Fang et al. designed the **Unified Automata Processor** (**UAP**), a set of vector extensions added to a traditional von Neuman CPU optimized for implementing a variety of NFA-based programming models [20]. The UAP exploits parallelism by concurrently traversing one edge per cycle for each of its 64 lanes. The design stores NFA transitions in local memory attached to each lane, with a total capacity of 1 MB. The transitions are stored in a compact, efficient format but the design is limited to NFAs that can fit into the local memory.

72 Das et al. [5] proposed Cache Automaton (CA), in which a conventional cache is augmented 73 to perform automata processing through the addition of two pipeline stages fed by each input symbol. The first stage finds the symbol match in the RAM and the second implements the state 74 75 transitions through a hierarchical switching network. The achieved throughput degrades with the 76 targeted NFA's edge density and number of states. Subsequent efforts have sought to address this 77 problem. J et al. [10] use a time-division multiplexing approach by adding a multiplexer to pipeline 78 the hierarchical switching network. This approach improved cache automata throughput by 2X. 79 Another approach for implementing DFAs and regular expressions is by using Ternary Content-Addressable Memory (TCAM). TCAM-based approaches have limited capacity and 80

81 a-far as we are aware-have not been demonstrated to be amenable to time multiplexing [11].

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Teubner et al. [30] implemented an FPGA-based automata engine for database systems by integrating the FPGA hardware as an XML projection (or pre-filtering) into a database system path.82XML projection [17] extracts filtering expressions from query then pre-filters the data to reduce84the dataset size and the compilation overhead. The hardware can be reconfigured in less than one85microsecond, but is currently only capable of matching individual patterns and cannot be adapted86to processing generalized automata descriptions such as the one defined in ANMLZoo.87

CAMA-T [21], Impala [7], and Grapefruit [19] are based on multi-stride NFA to accept multiple 88 input symbols per clock cycle. Impala is an ASIC-implementation that transforms the usual 8-bit 89 symbol used by many automata processors into a 4-bit symbol to further improve throughput, 90 while Grapefruit is an FPGA-implementation which has the ability to execute four 8-bit symbols 91 at a time. Unfortunately, this article does not provide throughput values for each of the ANMLZoo 92 benchmarks to provide a direct comparison. 93

Wang et al. recently proposed hAP, a spatial-von Neumann Automata Processor that consists94of a hybrid DFA/NFA engine, where the DFA component is designed as state-match component95that accepts one active state at a time and the NFA executes the transitions which are deployed96directly to gates and registers [35]. The reported throughput includes the kernel throughput and97the compressed reporting overhead, however, reconfiguration time is not considered. Additionally,98hAP targets only one specific type of automata application, regular expression matching.99

This work is comprised into three main contributions:

- (1) a parameterizable overlay, NAPOLY, which is comprised of an array of hardware modules101(called State Transition Elements or STEs), each sensitive to a specific pattern and reconfigured at run time in 21 to 74  $\mu$ s depending on the overlay size selected,103
- (2) an open-source tool, NFATOOL, which maps logical pattern states onto the physical STEs 104 using a SAT solver [27], 105
- (3) analysis of the tradeoffs between state capacity, interconnect density, output buffer size, and 106
- (4) comparison to state-of-the-art Intel's CPU-based NFA software (Hyperscan) and a well known GPU-based implementation (iNFAnt) [14].
   108

#### 3 FINITE AUTOMATA

A finite automaton (FA) $M$ is defined by [26].	110
$M = (Q, \sum, \delta, q_0, F)$ , where	111
-Q is finite set of states,	112
$-\sum$ is a finite set of symbols called the input alphabet,	113
$\int Qx \sum \to Q$ , Transition Function for DFA,	114
$- \delta \cdot Qx(\Sigma \cup \lambda) \rightarrow 2^Q$ , Transition Function for NFA,	114
$-q_0 \in Q$ is the initial state,	115
$-F \subseteq Q$ is a set of reporting states.	116

At each clock cycle, the FA makes a transition based on (1) current state activation and (2) the 117 match of the input symbol and the edge label. The FA must report whenever a "report" state is 118 activated, meaning that a pattern defined in the pattern set was identified. In this case, both the 119 report ID and the current symbol position (offset) in the input sequence are reported. An FA is 120 classified either as DFA or NFA depending on how many states may be active at one time. 121

#### 3.1 Deterministic Finite Automata (DFA)

During operation, a DFA may have only one active state and accesses only one entry of its state123transition table. It must contain a state for every possible partial match of every possible pattern.124This can lead to combinatorial growth of the state space.125

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Fig. 1. DFA for regular expression pattern "ababc".



Fig. 2. NFA for regular expression pattern "ababc".



Fig. 3. ANML-NFA for regular expression pattern "ababc".

Figure 1 shows an example of DFA consisting of six states (state 0 represents start-state and state 5 represents report-state) that recognizes a simple regular expression pattern "ababc". The corresponding next state table is shown as Table 1.

#### 129 3.2 Nondeterministic Automata

In an NFA, multiple states are active simultaneously. Each state needs only track the progress
towards accepting one specific pattern instead of all possible patterns. This requires fewer states
than an equivalent DFA.

Figure 2 shows an NFA that accepts the same pattern as in Figure 1. As it is shown in Table 2, the next state table for NFA is 2.6 times smaller than that of the DFA in Table 1.

An alternative form of NFA description called **Automata Network Markup Language** (ANML) was developed by Micron [3]. ANML-NFA is differentiated by associating the transition labels with the states instead of the edges. This adds an additional constraint that each state's incoming transitions must have the same label set, but it allows an implementation to associate the next state table with the states instead of the edges and thus reducing the memory requirement.

Figure 3 shows the alternative form of NFA with symbols associated with states, for implementing the pattern "ababc".

### 142 3.3 Intel FPGA

An Intel FPGA is comprised of a two-dimensional array of Logic Array Blocks (LABs). In the
Stratix 5 family of FPGAs, each LAB consists of 10 basic reconfigurable Adaptive Logic Modules
(ALMs) sharing local interconnections, control signals, and chain of connection lines. The ALM
consists of two 6-input Look-Up Tables (LUTs), two-adders, four multiplexers, and four registers.
Some LABs are variants called MLABs (Memory LAB), which contain LUTs-based SRAM capability

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state	input	next
0	а	1
0	λ	0
1	b	2
1	а	1
1	λ	0
2	а	3
2	λ	0
3	b	4
3	а	1
3	λ	0
4	с	5
4	а	3
4	λ	0

Table 1. Transition Table for DFA Description

Table 2. Transition Table for NFA Description

state	input	next
0	а	1
1	b	2
2	а	3
3	b	4
4	с	5





to support simple dual-port SRAM. LABs connect to each other through global interconnections 148 distributed horizontally and vertically on the device. 149

Prior work [2, 22] implemented NFA as circuits and flip-flops as illustrated in Figure 5, showing an automata that accepts pattern "ababc" implemented on an FPGA. This approach has fixed interconnection and fixed symbol tables, which requires that the FPGA be reconfigured to change the recognized patterns. 153



Fig. 5. Mapping NFA directly on FPGA of Figure 3.



Fig. 6. An example of fan-in-based relaxation.

#### 154 3.4 VASIM Relaxation

Mapping an arbitrary automata onto NAPOLY often requires transforming automata into another functionally equivalent automata but having different structure. One NFA transformation is fanin and fan-out relaxation [33], replicates each state having a fan-in (incoming transitions) that exceed a given limit, resulting in the transformed NFA having a desired maximum fan-in in order to enforce constraints imposed by the hardware.

Figure 6 shows an original automaton of five vertices having a maximum fan-in of 3. If we wish to limit the maximum to, for example, 1, then state 4 would be a violation of this constraint. With fan-in relaxation, the violated state is replicated by ceil(I/d), where I = the original fan-in and d = the fan-in constraint. The outputs of the original vertex are copied, while the inputs are divided among the new replicated vertices. Likewise, fan-out relaxation allows for constraining the application of a fan-out constraint.

Figure 7 shows an original automaton of five vertices, where its maximum logical fan-out is O = 3. Assuming the logical fan-out d = 1, state 2 violates the hardware fan-out constraint. During relaxation, the violated state is replicated by *ceil(O/d)*. The outputs of the original vertex are divided among the new replicated vertices, and the inputs are copied.

### 170 3.5 ANMLZOO Benchmark Suite

171 ANMLZoo is a diverse benchmark suite of finite automata for evaluating automata processing en-

172 gines [9]. It consists of 12 benchmarks representing various applications for automata processing.

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Fig. 7. An example of fan-out-based relaxation.

Table 3 shows ANMLZoo benchmarks which have up to 100,000 and up to 5,000 distinct sub-173 graphs, which are connected to each other to form ANMLZoo graph. The first column lists the 174 benchmark names, the second and third columns show the number of states in (1,000's) and the 175 number of distinct subgraphs. The fourth column shows the maximum logical fan-in/ logical fan-176 out for each benchmark, which represents the maximum incoming and outgoing transitions of 177 state. The Family column represents the family to which each benchmark belongs: regex (set of 178 characters that define search pattern), mesh (regular structure with fan-in/fan-out), and widget 179 (when automata represented as a tree). The last column, function, describes the function each 180 benchmark performs. 181

### 4 NAPOLY DESIGN

NAPOLY is a parameterizable and reusable architecture for deploying an NFA description but im-183 poses several constraints. First, the NFA topology must not violate a fan-in and fan-out constraint. 184 However, the fan-in and fan-out constraint not only limits the number of incoming and outgoing 185 edges but also limits the distance between the mapped location of states that are connected with 186 an edge. We refer to this constraint as the "hardware fan-out", which determines the maximum 187 number of outgoing transitions per STE as well as the maximum distance between a pair of con-188 nected STEs with respect to their location in the array. For example, with a hardware fan-out of 189 10,  $STE_n$  can only connect to  $STE_{n-4}$  to  $STE_{n+5}$  (including itself). 190

NAPOLY configurations are a tradeoff between hardware fan-out and state capacity, in terms 191 of the number of STEs. We developed several Pareto optimal versions of the overlay with varying 192 numbers of STEs and hardware fan-out [15]. 193

#### 4.1 STE Design

Figure 8 shows the NAPOLY STE design. To achieve maximum utilization of memory, the "current195state table", which stores the set of input symbols associated with each STE, is generated as a 256 x196*M bit* RAM, where M = the number of STEs. Each STE accepts a one-bit input from its corresponding197column in the current state table, indexed by the input symbol.198

Each STE contains an OR-gate that combines activation signals from all its f possible predecessor STEs (f is the hardware fanout). Any cycle in which any of the incoming activation signals are asserted while simultaneously receiving a one-bit from the current state table will activate the STE's state bit in the following cycle. Unless the "start bit" is set, the state bit resets in any cycle in which this condition does not hold.

While the state bit is set, the STE will broadcast an activation signal to all its f outputs, each 204 of which is AND'ed against a corresponding "interconnect configuration bit" before connecting to 205

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Table 3. ANMLZoo Applications					
Benchmark	States (K)	Distinct Sub-graphs	Logical Fan-in / Fan-out	Family	Function
					brill tag
Brill	26	1,962	4/4	Regex	patterns and
					correct tags
					viruses
ClamAV	48	515	11/2	Regex	signatures in files
Sucart	(0	0 595	10/5	Degrees	particular
Short	69	2,385	19/5	Regex	snort rules
					particular
Protomata	42	2,340	3/106	Regex	motif
					signature
Dotstar	96	2,837	2/2	Regex	spy rules
D	40	0.057	4/0	Destation	complex
Power En	40	2,857	4/3	Regex	rules
					edit
					distance
Levenshtein	27	24	8/5	8/5 Mesh	between
					DNA
					sequence
					number of
Homming	11	02	4/9	Mach	mismatches
Hamming	11	95	4/2	Mesn	between
					sequences
					groups of
SPM	100	5,025	3/2	Widget	related
					items
Formi	40	2 300	2/2	Widget	particular
renni	40	2,377	2/2	wiugei	path
					input
Entity Resolution					sequences
	95	1,000	28/2	Widget	match
					encoded
					pattern
					Recognize
Random Forest	75	3 767	2/2	Widget	particular
Manuonii i Orest	om forest /5	3,707	L/ L	muger	handwritten
					texts

the OR-gate of each of the potential successor STEs. The interconnect configuration bits are the
mechanism by which edges are established between states mapped onto STEs. It forms a pointto-point programmable interconnect, in which each wire and corresponding configuration bit are
associated with each pair of connectable STEs.

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Fig. 9. NAPOLY interconnect.

The interconnect configuration bits and the start and reporting flags are stored in a set of flipflops connected as one of several shift registers that collectively spans all STEs, similar to the JTAG 211 boundary scan but a set of which are fed in parallel from DRAM as opposed to serially as in the case of JTAG. 213

### 4.2 Interconnection Design

The physical STEs on the FPGA are connected using point-to-point links between each STE and to 215 itself and between each STE and *f*-1 of its neighbors. The STEs adopt a one-dimensional address-216 ing scheme, where each STE is associated with ID *n* and sends output signals to successor STEs 217 n - [(f - 1)/2] to n + [f/2]. 218

Figure 9 shows NAPOLY interconnects when n = 4, and f = 4. The blue and red wires represent the backward and forward interconnects respectively. This interconnect design is based on dedicated, non-shared point-to-point wires between each pair of connectable STEs. While it is less versatile than a switched interconnect consisting of shared wire tracks, it avoids the need to allocate and map interconnect resources, relying instead only on solving only the state-to-STE mapping problem. 219

### 4.3 Overlay Resource Constraints

STE capacity is limited by the LUTs required to implement the OR-gates that combine the incoming predecessor inputs into each STE. Our evaluation FPGA is an Intel Stratix 5 GX A7. 227

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228 In terms of RAM capacity, there is a choice between using MLAB or M20K RAMs for the current 229 state tables. The Stratix 5 GX A7 has roughly 7X the M20K capacity than it does MLAB capacity, 230 but the current state tables have a depth of 256, while the minimum depth required to fully utilize 231 M20K resources is 512, meaning that only 50% of the M20K capacity is available for depth-256 232 tables. More importantly, M20Ks require synchronous reads, which if used for the current state 233 table would reduce array throughput by 1/2, as each input symbol would require one cycle to 234 access the current state table and another for updating the state flip-flops. Lastly, the M20K blocks 235 are needed to buffer the input and output data for the AP fabric. The Stratix 5 GX 7A contains 7.16 236 Mb of MLAB memory, giving an upper bound of roughly 29K STEs.

### 237 4.4 I/O Interface

The input symbols stored initially in the DRAM are transferred into the input buffer. The outputs reported in NAPOLY are stored in output buffers before flushing out to the DRAM.

4.4.1 Input Buffer. A 64K x 8-bit M20K-based RAM serves as the input buffer. Once filled, it streams input data into the STE array at one symbol per cycle at 152MB/s for the 4K-STE overlay. Note that this is the upper bound for throughput, but in practice, the effective throughput is lower due to the overheads required for programming the array, filling the input buffer, and flushing the output buffer. Filling the input buffer from DRAM requires  $8.6\mu s(7.1GB/s)$ , performed S/64Ktimes, where S is the total number of input characters.

4.4.2 Output Buffer and Report Region. Any STE may be mapped to a particular reporting state,
which causes it to generate a global output signal or "report" in all cycles in which it is active. Ideally the output buffer would accommodate a scenario where all states are configured as accepting
states and all states are active in every cycle, which is readily achievable by setting the "start" and
"reporting" flag on all STEs.

To obtain the reporting ID, NAPOLY's STEs are decomposed into output regions, where each region represents a group of consecutive STEs (M). The number of reporting regions in the design is equal to (N/M), where N is total number of STEs. To determine which STE is reporting in each group, we use a priority encoder. The number of encoders determines the maximum number of reports per clock cycle without stalling.

4.4.3 Output Buffer Implementation. The depth and width of the output buffers are design parameters. The buffer depth depends on overlay size, where smaller overlays can support deeper
output buffers. The output buffer depth for overlay 4K, 8K, 12K, 16K, 20K, and 24K is 64K, 32K,
24K, 16K, 12K, and 8K, respectively.

For example, assume an 8K overlay comprised of eight 1024-STE reporting regions with four encoders per region, giving 32 encoders. STEs are enumerated within each reporting region, meaning that each STE ID is comprised of  $log_21024 = 10$  bits, and the width of the encoder outputs is  $32 \times 10 = 320$  bits.

The output buffer must therefore have a 320-bit port for reporting and a 512-bit port for DMA to DRAM. However, the dual RAM design is restricted by the set of ratios between port A and port B widths are 1, 2, 4, 8, 16, and 32. This prevents generating RAM with ratio 512/320, leading us to necessitate padding the input port width by extra 0s to the left to achieve the minimum valid ratio between the two ports. These padded bits are used to store the input offset as shown in Figure 11.

269 4.4.4 Priority Encoder. The priority encoders identify the active reporting STEs in each cycle.
270 In each cycle, the encoding process starts by the right-most bit in the group, checking if the bit is
271 set. If so, the bit will be encoded, and its ID sent to the reporting-ID register. If the bit is zero, the
272 priority encoder moves to the next bit and repeats the process, until the final bit in the group.



Fig. 10. NAPOLY timing diagram.

In order to limit the logic latency of this operation, the priority encoders in each reporting region 273 are further divided into one or more *output regions*, within which it limits the number of reporting 274 states. Figure 11 shows the design of the priority encoder with a reporting region size of 16 and a 275 output region size of 8. 276

4.4.5 NAPOLY Performance Model. Historically, automata DSAs have evaluated performance277in terms of symbol throughput, i.e., symbols per cycle or symbols per second. Practical workloads278often require multiple passes through the pattern set, in which case reconfiguration time plays279a substantial part in end-to-end performance. Performance metrics must therefore consider the280number of reconfigurations and the time to read input set and flush the reports.281

NAPOLY is reprogrammed in three steps. The current state tables, which are mapped onto MLAB blocks, are written through an exposed write port, while the registers (interconnect and state flags) are programmed using parallel shift register chains. There is one chain for each bit of width to the external memory interface. For the Stratix 5 board, there are 64 shift registers to allow for 64 bits to be shifted in every cycle to match the DRAM interface width. This width is scalable to utilize all available memory bandwidth. Finally, the input symbol buffer, which is mapped onto M20K blocks, is also configured through an exposed write port. 282 285 286 286 287 288

At runtime, NAPOLY follows the timing diagram shown in Figure 10. For each block of input 289characters, the array must fill the input buffer from DRAM ( $\frac{size_{input\_buffer}}{bw_{DRAM}}$ ), and for each batch of 290 STEs it must reconfigure its array ( $time_{reconfig}$ ) DRAM (loading next\_state tables and configuring 291 gates), flush the input buffer through the array ( $time_{IBF}$ ), and flush the output to DRAM ( $time_{OBF}$ ). 292

Reconfiguring the interconnect bits scales with f and the number of STEs (N) and the time to 293 load the current state tables scales with the number of STEs (note that f and N vary inversely). 294

The effective throughput is calculated according to Equation (1). The reconfiguration time 295  $time_{reconfig}$  gives the time needed to reconfigure a new NFA onto the overlay. Thus, the execution time scales with  $R \times time_{reconfig} \times \frac{IS}{64K}$ , where 64KB = the size of the input buffer and IS 297 is the size of the input data to be searched for patterns. 298

Throughput = 
$$\frac{size_{input\_buffer}}{\frac{size_{input\_buffer}}{bw_{DRAM}} + R \times (time_{reconfig} + time_{OBF} + time_{IBF})}$$
(1)

### **5 MAPPING PROBLEM**

Mapping an NFA graph to an overlay (NAPOLY) is performed by allocating each state into an STE 300 and consequently mapping every edge to an STE-to-STE wire. This mapping must be performed 301 without violating the hardware fan-out constraints; that is, without mapping any pair of connected 302 states to a pair of STEs whose physical distance exceeds the reach of the STE interconnects [16]. 303

*Definition 5.1.* For a given NFA  $\{V, E\}$ , where V is a set of states and E a set of edges (transitions), 304 a **map** is an association between each of the NFA states of an NFA graph and a corresponding STE 305

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Fig. 12. Mapping problem.

index in the range of [0, N - 1], where N = number of STEs. There are thus |V|! unique maps for a given NFA assuming |V| = N.

For example, assume we have an NFA graph consisting of seven states [A, B, C, D, E, F, G] as shown in Figure 12, and we need to map this NFA onto an overlay consisting of seven STEs

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[0, 1, 2, 3, 4, 5, 6]. Assume the hardware fan-out f is 9, meaning that the maximum forward connection distance is 4, maximum backward connection distance is 4, and self-loop is one connection. 310

If we map each state to an STE in order, as it is shown in Figure 12, the edge between *B* and *G* 312 will require a connection to mapping state *B* onto  $STE_1$ , and mapping state G to  $STE_6$ . This will 313 violate the fan-out constraint which is maximum backward or forward distance of 4. We refer to 314 this as a *mapping violation*. One way to meet this constraint is to map state F to STE6, and G to 315 STE5, as shown in the Figure. 316

In this example, there is 7! or 5, 040 possible ways to map the NFA onto the overlay. With f = 5, 317 there is no mapping solution. With f = 6, f = 7, and f = 8, the number of mapping solutions 318 grows to 24, 48, and 372, respectively. 319

#### 5.1 Greedy Mapping Heuristic

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For each violation between a *predecessor* and *successor* state, the mapping score resulting from321all possible violation resolutions is calculated and compared to the previous mapping score. The322mapping score is the sum of mapped distances between the STEs holding each pair of connected323states. To re-map a state from its original STE to a target STE, all states mapped to STEs between324the original STE and target STE are shifted to accommodate the state's change in location.325

The greedy heuristic considers every possible way to re-map either the predecessor or successor 326 state that results in the physical distance between the predecessor and successor being less than or 327 equal to the maximum reach as defined by hardware fan-out. Note that resolving a violation may 328 create new violations caused by the shifting of states required in the re-mapping. The heuristic 329 effectuates the re-mapping that gives the best overall score improvement relative to the original 330 mapping state. If none of the re-mapping options improves the mapping score, then the algorithm 331 moves on to the next violation without re-mapping any states. 332

The mapping heuristic will abort execution if it fails to achieve a reduction in mapping score 333 after several iterations. When this occurs, the algorithm is performed again but using an overlay 334 configuration with greater reach and less STEs. 335

### 5.2 SAT Solver Mapping Algorithm

Mapping states to STEs against the hardware interconnect constraints is reducible to SAT. In this 337 work, we use CryptoMiniSat [29] as our SAT solver. 338

The hardware fan-out parameter f defines which subset of maps is valid for a given NFA. In 339 order to find a valid map, a mapping algorithm must assign  $map(s) \forall s \in V$  subject to the following 340 constraints: 341

(1) Maximum hardware fan-out,	342
$\forall (s,d) \in E: ((map(s) - map(d)) \leq \lfloor (\frac{f-1}{2}) \rfloor) \text{ and } ((map(d) - map(s)) < \lfloor (\frac{f}{2}) \rfloor)$	343
(2) Every state must be assigned to only one STE	344
$\forall s \in V, \forall i, j \in N, i \neq j, if map(s) = i, then map(s) \neq j$	345
(3) Every STE must be allocated one state	346
$\forall s, d \in V, s \neq d, \forall i \in N, if i = map(s), then i \neq map(d)$	347
(4) All states must be allocated	348
$\forall s \in V, map(s) \in N$	349

In order to allocate the states into STEs, we describe the constraints above in **conjunctive** 350 **normal form** (**CNF**), where each clause is formed as a disjunction of literals (i.e., a product of 351 sums). We assign each possible mapping of a state to an STE as a Boolean variable whose state 352 determines if the mapping is made, i.e., Let  $L_i^s = TRUE$  when map(s) = i. 353

We describe *constraint 1* as shown in Equation (2) by constructing a set of clauses that collectively guard against every possible mapping violation.

$$\bigwedge_{\forall (s,d)\in E, \forall i\in N} (\overline{L_i^s} \lor \bigvee_{\forall m\in [-\lfloor \frac{f-1}{2} \rfloor\dots, -1, 1, \lfloor \frac{f}{2} \rfloor]} L_{i+m}^d).$$
(2)

In other words, if any edge (s, d) is mapped such that map(s) == i and state d is not mapped to SES  $i - \lfloor \frac{f-1}{2} \rfloor$  to  $i + \lfloor \frac{f}{2} \rfloor$ , then the clause will be FALSE, invalidating the entire CNF expression.

We describe *constraint 2* similar to the previous constraint, but for each conjunction as the complemented variables corresponding to each state mapped to every pair of STEs, as shown in Equation (3).

$$\bigwedge_{\forall i_1 \in N} \bigwedge_{\forall i_2 \in N} \bigwedge_{\forall s \in V} (\overline{L_{i_1}^s} \vee \overline{L_{i_2}^s}).$$
(3)

We describe *constraint 3* by adding  $|V|^2 \times |N|$  additional clauses, formed from the conjunction of the complemented variables corresponding to every pair of states mapped to every STE, as shown in Equation (4).

$$\bigwedge_{\forall s_1 \in V} \bigwedge_{\forall s_2 \in V} \bigwedge_{\forall i \in N} (\overline{L_i^{s_1}} \lor \overline{L_i^{s_2}}).$$

$$\tag{4}$$

We describe *constraint 4* by adding an additional clause for each state, comprised of the con junction of the literals representing every possible mapping of that state, as shown in Equation (5).

$$\bigwedge_{\forall s \in V \text{ for all} i \in N} L_i^s.$$
(5)

Figure 13 depicts an example NFA, overlay, and corresponding CNF clauses that describe constraint 1. Graph *G* is composed of  $V \in (0, 1, 2, 3, E \in (0, 1), (0, 2), (1, 3), (2, 3)$ , and overlay *M* is composed of  $N \in (0, 1, 2, 3)$  and f = 3.

Each potential mapping clause is shown as a matrix in Figure 13 where its rows represent the state end the columns represent the STEs to which the state can potentially be mapped. The cells in the matrix are the literals of the clauses, shown as T as the positive literal and F as the negative literal. The clause joins literals by OR, while clauses are joined by AND.

The  $C(E_{01})$  in Figure 13 constrains the edge between state 0 and state 1 and shows four logical implications converted into four logical disjunctions. Any of these would evaluate to false if state 0 were mapped to any of the STEs without state 1 being mapped to another STE within the range [-1, 2]. In CNF, all clauses must be true to satisfy the expression.

### 378 5.3 NFA Transformation

NAPOLY overlay configurations exhibit a tradeoff between the number of STEs and the hardware fan-out, the number of available inputs and outputs in each STE. This tradeoff is caused by the resource constraints imposed by the ALMs required by the OR-gate that combines the inputs from all the possible predecessors into each STE.

As shown in Figure 19, larger overlays achieve higher throughput because they require less total runtime reconfigurations, but the maximum overlay size available to a given automata is determined by the minimum hardware fan-out on which the automata can be successfully mapped by the NAPOLY compiler. To maximize performance, each automata must be mapped onto an overlay having minimal hardware fan-out to allow for the use of a larger overlay. The minimum hardware fan-out depends on the transition density of the automata.

Our methodology for finding the minimal hardware fan-out for a given NFA is to perform a binary search. For some benchmarks, it is possible to map overlays with lower hardware fan-out



c. Clauses C of Constraint 1

Fig. 13. An example for generating CNF clauses of literals based on Constraint 1.

by transforming the NFA into a functionally equivalent alternative form that limits the maximum 391 number of incoming and/or outgoing transitions from each state at the cost of an increased number 392 of states. 393

We use the fan-in/fan-out relaxation technique included in VASim [34] to decompose any states 394 that have an in- or out-degree larger than the prescribed fan-in or fan-out limit. This type of transformation replicates all the states along all the paths from the start states to the accepting states 396 that are part of any of the high fan-in or fan-out paths, as shown in the example in Figure 14. 397 This approach is only practical when the performance gained from increasing the overlay size outweighs the performance loss caused by increasing the number of states and the resulting number 399 of reconfigurations. 400

To explore this, Figures 15–17 show the achieved throughput of the Promomata, Snort, and 401 PowerEn benchmarks on two different overlays: the baseline one, in which the compiler can 402 successfully map all the states for the unaltered version of the benchmark, and the performance 403 achieved on the next higher size overlay under the assumption that a given number of states must 404 be replicated in order to reduce the transition density to the point where the compiler can map 405 the automata (shown on the horizontal axis). In each of these benchmarks, less than 5% of its NFA 406 sub-graphs failed to map to one of the overlay configurations, which in this case is considered to 407 be the "next size up". These results reveal the maximum number of state replications permissible 408 before the overhead in workload outweighs the benefit of the larger overlay. 409

In the case of Protomata, the compiler was able to successfully map the automata to the 16K 410 overlay (after having previously only been mappable to the 8K overlay) after the density improve-411 ments achieved through a 2% increase in states, giving way to an end-to-end speedup of 1.51, as 412 shown in Figure 15. 413

In the case of Snort, the compiler was able to successfully map the automata to the 16K overlay 414 (after having previously only been mappable to the 8K overlay) after the density improvements 415 achieved through a 4% increase in states, giving way to an end-to-end speedup of 1.61, as shown 416 in Figure 16. 417

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Fig. 14. Transformation of NFA graph in Figure 12.



Fig. 15. Protomata: Performance on 16K STE overlay and 20K STE overlay vs. the assumed number of state replications needed to map the automata onto the 20K STE overlay with its fewer interconnections.

In the case of Power En, the compiler was able to successfully map the automata to the 20K overlay (after having previously only been mappable to the 16K overlay) after the density improvements achieved through a 0.3% increase in states, giving way to an end-to-end speedup of 1.29, as shown in Figure 17. As shown, Power En performance speeds up only within a very small region (number of replications  $\leq$  0.008) of 20K overlay performance plot.

#### 423 5.4 Experimental Analysis

424 Comparing with the heuristic described in Section 5.1, the SAT solver-based mapper can map 425 75% of the ANMLZoo benchmarks to larger overlay configurations than when using the heuristic, 426 which results in fewer number of reconfigurations at runtime. Figure 20 shows the effective speed 427 up for these benchmarks when using the SAT-based mapper as compared to the heuristic mapper.

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Fig. 16. Snort: Performance on 8K STE overlay and 16K STE overlay vs. the assumed number of state replications needed to map the automata onto the 16K STE overlay with its fewer interconnections.



Fig. 17. Power En: Performance on 16K STE overlay and 20K STE overlay vs. the assumed number of state replications needed to map the automata onto the 20K STE overlay with its fewer interconnections.

5.4.1 Hardware Resources. Table 4 shows the hardware resources required to implement six 428 overlay configurations. The column labeled #STEs gives overlay sizes (number of STEs), the column labeled Maximum hardware fan-out shows f the hardware fan-out of the overlay, and the column labeled Fmax shows the maximum clock frequency. The remaining columns show 431 the hardware resources. Note that each of these overlay configurations is limited by ALM usage, which is driven by the overlay's STE fan-out.

Table 5 shows the total M20K used to implement the output buffer in the overlays. The col-434umn labeled **Buffer depth** ranges between [64K, 32K, 24K, 16K, 12K, 8K] based on overlay size435

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# STEs	Max Hw. Fan-out (f)	Fmax (MHz)	MLABs	ALMs%	Reg.%	M20K%
4K	103	152	1,047,296	90	46	41
8K	44	136	2,096,384	91	41	41
12K	25	122	3,145,472	95	36	60
16K	12	121	4,193,024	94	26	41
20K	6	119	5,242,112	95	19	61
24K	3	112	6,291,200	96	15	41

 Table 4. Hardware Resources Used in Different Overlay Configurations

# CTE a	Duffer Douth	Duffer Width	Buffer Width	Total M20K
# 31E8	Builer Deptii	Builer width	After Padding	(MB)
4K	64	192	256	16
8K	32	416	512	16
12K	24	624	1,024	24
16K	16	896	1,024	16
20K	12	1,144	2,048	24
24K	8	1,399	2,048	16

Table 5. Total M20K Used for Output Buffer

436 (#STEs). The column Buffer Width shows the width of the output buffer, which is determined

437 by # encoders  $\times \#$  output regions  $\times \log_2(STEs)$ . As described in Section 4.4, the buffer width needs to

be padded, as shown in column Buffer Width after Padding. Column Total M20K shows the
total number of M20K needed in each overlay.

#### 440 5.5 NAPOLY Run Time

441 Table 6 shows the Pareto optimal set of synthesized and place-and-routed overlay configurations with respect to STE capacity and hardware fanout. The column #STEs lists all the six NAPOLY con-442 figurations. The column labeled **Max BW for**  $N_{\forall active} = 0.25(GB/s)$  gives the effective on-chip 443 444 memory bandwidth needed for 25% average active states. Exploitation of on-chip memory band-445 width is the principle performance advantage of NAPOLY over CPU- and GPU-based approaches. 446 The column labeled **Time\_Reconfig** (*T*) lists the time needed to reconfigure a new NFA onto 447 the overlay. The column labeled **Output Encoders** gives the number of output encoders, which 448 determines the maximum number of "reports", or accepting state activation, allowed per clock 449 cycle.

The column labeled **Max Reporting Cycles** gives the depth of the output buffer relative to the depth of the input buffer (64K). Together, these values and Fmax determine the maximum reporting rate of the overlay configuration, listed in the column labeled **Max Report Rate (GHz)**.

For a given NFA and input, the effective throughput is calculated according to Equation (1), which is shown in the last two columns (**Throughput for 24K** and **Throughput for 128K**) at 24K states and 128K states, respectively.

Figure 18 shows NAPOLY execution time is dominated by the time to flush input buffer and the time to flush the output buffer.

Figure 19 plots the achieved throughput of all NAPOLY overlays for 1 million input characters and for a total NFA workload from 4K to 128K states. The performance difference between the different overlays converges to their size, i.e., the 24K overlay is 6X faster than the 4K overlay for an automata of 128K states).

Table 6. Repertoire of the Achieved NAPOLY Configurations							
		Time		Max	Max	Throughput	Throughput
# STEe	Max BW	Reconfig	Output	Report	Report	24K	128K
# 5115	(GB/s)	Т	Encoders	Cycles	Rate	states	states
		(µs)		Cycles	(GHz)	(MB/s)	(MB/s)
4K	1,866	21	16	100%	2.4	14	3
8K	1,427	31	32	50%	2.2	27	5
12K	1,031	43	48	33%	2.0	32	6
16K	692	53	64	25%	1.9	36	9
20K	426	67	80	20%	1.9	31	9
24K	240	74	96	17%	1.8	67	11



Fig. 18. Execution time makeup of NAPOLY.

#### 5.6 Mapping Results

Table 7 shows the mapping result for each of the ANMLZoo benchmarks using SAT solver which463achieved a significant improvement in hardware fan-out, targeting larger overlay and reducing464the number of re-configurations in 75% of ANMLZoo benchmarks.465

5.6.1 NFA Transformation Results. We applied the NFA transformation technique described in466Section 5.3 on Protomata, Snort, and Power En benchmarks.467

Tables 8, 9, and 10 show the state replications and the achieved hardware Fan-out after NFA 468 transformation for three benchmarks Protomata, Snort, and Power En. The first column repre-469 sents the Fan-in/Fan-out limit applied on the failing sub-graphs of each benchmark. The second 470 column, State Replications, shows the number of state replications achieved when fan-in/out 471 limits are applied. The third column shows the Minimum Hardware Fan-out achieved to map 472 the sub-graphs onto larger overlays, and final column shows the **Target Overlay**. As shown in the 473 three tables, the number of state replications significantly increases when limiting fan-in/fan-out 474 to 1, while it lowers when moving the limits towards the maximum logical Fan-in/out for each 475 benchmark. 476

### 5.7 Performance Comparison

For each of the ANMLZoo benchmarks, Table 11 shows the performance of competing CPU and 478 GPU automata processing frameworks. The CPU implementation is Intel Hyperscan [1] measured 479

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Fig. 19. NAPOLY performance vs. NFA size.

Benchmarks	# States (S)	Min <i>f</i> Achieved	Overlay Size (N)	# Reconf.	Throughput ( <i>MB</i> /s)
Brill	26,668	8	16K	2	36
Clam AV	49,538	12	16K	3	16
Dot Star	96,438	4	20K	5	12
ER	95,136	41	8K	12	7
Fermi	40,783	5	20K	2	31
Hamming	11,346	14	12K	1	63
Levenshtein	2,784	16	12K	1	63
Power En	40,513	8	16K	3	25
Protomata	42,061	42	8K	6	15
Random Forest	75,340	6	20K	4	16
Snort	69,029	36	8K	9	9
SPM	100,500	6	20K	5	13

Table 7. NAPOLY Mapping Using SAT Solver

480 independently by the authors using a 3.1 GHz Intel i5-4440 CPU with 32 GB RAM. The GPU im-

481 plementation is iNFAnt2 executed on an Nvidia Titan Xp as reported in [9]. The second column of 482 the table shows NAPOLY throughput for each benchmark. Comparing with Table 7, the through-

the table shows NAPOLY throughput for each benchmark. Comparing with Table 7, the throughput of Snort, Protomata, and Power En has increased because of applying the NFA transformation

put of short, i fotomata, and i ower En has increased because of apprying the WA transformation

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Fig. 20. Speedup achieved in 75% of benchmarks at SAT solver vs. Heuristic.

Logical Fan-in/-out Limit	State Replications	Achieved HW Fan-out	Target Overlay
10/10	0	36	8K
8/8	1%	12	16K
6/6	3%	11	16K
4/4	4%	11	16K
2/2	4%	9	16K
1/1	40%	2	24K

Table 8. Snort Transformation Results

rable 2. riotomata mansionnation nesatis	Table 9.	Protomata	Transformation	Results
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Logical Fan-in/-out Limit	State Replications	Achieved HW Fan-out	Target Overlay
24/24	0	42	8K
16/16	0.07%	11	16K
8/8	0.2%	11	16K
2/2	2%	9	16K
1/1	12,415%	2	24K

technique described in 5.3 on these benchmarks. This technique allowed us to reduce the logical 484 Fan-in/Fan-out limit 1/1 for each of the benchmarks and mapping the benchmarks on 24K overlay. In order to understand the relationship between the NFA and its corresponding performance 486 on the CPU and GPU implementations, the table also lists runtime data for each benchmark: the 487 average number of active states (active set) and total number of reports as reported in [9]. 488

Logical Fan-in/-out Limit	State Replications	Achieved HW Fan-out	Target Overlay
4/3	0	8	16K
2/2	0.3%	6	20K
1/1	10%	2	24K

Table 10. Power En Transformation Results

Benchmark	NAPOLY Throughput	Average Active States (AS)	R Per B	GPU Throughput (MB/s)	CPU Throughput (MB/s)	Speedup vs. Max(CPU,GPU)
Brill	36	14	4	7	1	5
Clam AV	16	4	5	4	14	1.14
Dot Star	12	3	5	40	10	0.3
Entity Resolution	7	10	19	4	1	1.75
Fermi	31	3,854	2	2	1	15.5
Hamming	63	240	1	18	10	3.5
Levenshtein	63	88	1	38	1	1.65
Power En	31	31	5	53	10	0.58
Protomata	24	19	6	5	1	4.8
Random Forest	16	968	5	2	0.5	8
Snort	15	98	17	14	0.4	1.07
SPM	14	6,631	5	0.5	0.1	28

Table 11. Performance Results

NAPOLY performs best for larger benchmarks with more active states and is faster than both the
GPU and CPU NFA implementations in 10 of the 12 benchmarks, while the GPU implementation
is faster in only two benchmarks. DotStar and PowerEn have a small number of reports (0 for Dot
Star and 4,304 for Power En [32]) and a relatively small number of active states (0.003% for Dot
Star and 0.07% for Power En). C

$$\frac{1}{\frac{1}{2} + \frac{1}{2} \times \frac{1}{2}} \approx 1.33.$$
 (6)

#### 494 **5.8 Overlay Scalability**

As shown in Equation (1), NAPOLY throughput depends on (1) the number of reconfigurations needed, which may be reduced by having a larger overlay with more interconnect density, (2) the time to flush the input buffer, which depends on clock speed, and (3) reconfiguration time, which depends on DRAM bandwidth. Table 12 shows NAPOLY capability when scaled up to an Intel Stratix 10 GS. However, even if a larger FPGA can offer roughly double of overlay capacity, double of clock rate and double of DRAM bandwidth, the performance won't probably be doubled according to Equation (6).

### 502 6 CONCLUSION

In this article, we have presented a novel architecture for an automata processor overlay and its associated software. NAPOLY is parameterizable, allowing for tradeoffs in state capacity, interconnect density, and output buffer size. These tradeoffs allow for offline generation of a repertoire of

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#STEs 4K 8K 12K	Hardware	Output	Max Reporting	Max Report Fmax Max		Max BW
	Fanout	Encoders	Cycles	rate (GHz)	(MHz)	for 25 %active (GB/s)
4K	254	16	100%	4.64	290	8,746
8K	126	32	50%	8	250	7,510
12K	83	48	33%	12	250	7,331
16K	62	64	25%	13.4	210	6,208
20K	49	80	20%	15.2	190	5,549
24K	40	96	17%	16.32	170	4,863
28K	34	112	14%	16.8	150	4,255
32K	30	128	12%	16.64	130	3,719
36K	26	144	11%	15.84	110	3,068
40K	23	160	10%	14.4	90	2,467
44K	21	176	9%	12.32	70	1,744
48K	19	192	8%	9.6	50	1,072

Table 12. Repertoire of Achieved Configurations on Stratix 10GS

Table 13. Wire Utilization Achieved For ANMLZoo Benchmarks

Benchmark	Max Logical Fan-in/ Fan-out	Min Hardware Fan-in/ Fan-out	Average Fan-in degree	Average Fan-out degree	Fan-in Wire Utiliz	Fan-out Wire Utiliz
Brill	4/4	8/8	1.11	0.72	13.8%	9%
ClamAV	11/2	18/18	1.01	1.003	5.6%	5.6%
DotStar	2/2	4/4	1.00	0.48	25%	12%
Entity Resolution	28/5	41/41	1.89	1.15	4.6%	2.8%
Fermi	2/2	5/5	1.33	1.41	26.6%	28.2%
Hamming	4/2	14/14	1.69	1.69	12%	12%
Levenshtein	8/5	16/16	2.89	1.63	18%	10.2%
PowerEn	4/3	6/6	1.08	0.51	18%	8.5%
Protomata	3/106	9/9	1.02	0.49	11.3%	5.4%
Random Forest	2/2	6/6	1.05	0.5	17.5%	8.3%
Snort	19/19	9/9	1.22	0.6	13.5%	6.6%
SPM	3/2	6/6	2.1	1.05	35%	17.5%

overlays that allow for the overlay to be customized for specific types of NFAs. Once an overlay506is deployed, the user can rapidly program the NFA at runtime, supporting arbitrary large NFAs.507Automata-based benchmarks are mapped to NAPOLY processing elements based on the results of508an SAT solver.509

Our performance results included the time required to program the overlay from DRAM and are competitive with the state-of-the-art CPU- GPU-based implementations. Our performance results showed that NAPOLY's performance scales with on-chip memory capacity. 512

NAPOLY's main limitation is the hardware fan-out constraint, which determines the number 513 of neighboring STEs to which any STE can connect and determines the maximum distance (or 514 "reach") when establishing edges (NFA transitions) between mapped STEs. The fan-out constraint 515 is imposed by the FPGA resources and must be traded off against STE capacity. As shown in 516 Table 13, the utilization of STE-to-STE connections for each benchmark is less than 29%, meaning 517

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518 that a more efficient interconnect design would allow for more STEs, less reconfigurations for a 519 given NFA, and higher throughput.

- 520 Additionally, NAPOLY spends over half of its execution time flushing the output buffer to DRAM,
- 521 during which the STE array is idle. It is possible to perform these steps in parallel, but overlapping
- 522 STE execution and output flushing would require splitting the STE array into two halves, resulting
- 523 in more reconfigurations.

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