



Rotation Operators

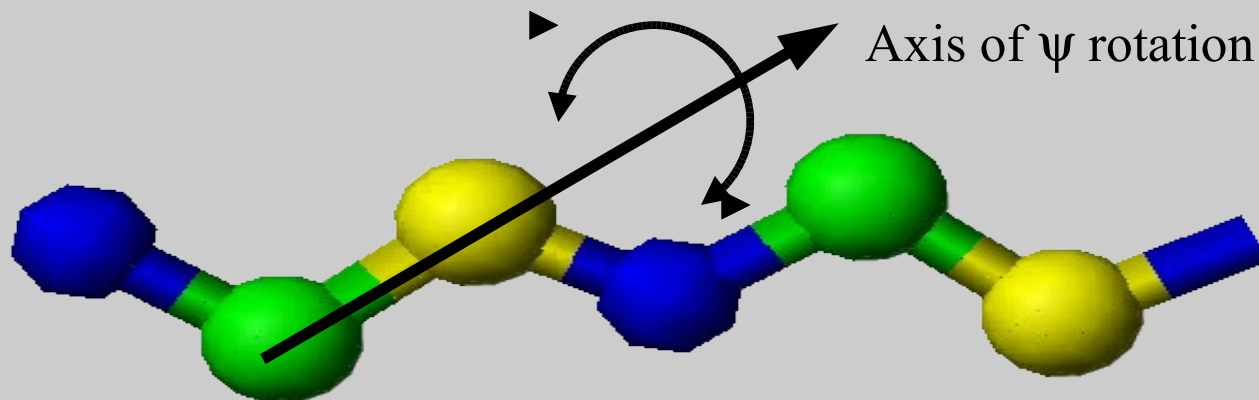
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Rotation in Structural Biology

- Rotation about bonds is the most prevalent contributor to conformational changes in Structural Biology
- Rotation about the backbone dihedrals is the major contributor to the structural degrees of freedom
- Meaningful comparison of protein structures requires rotation of their structures into a common principal frame
- A well developed understanding of rotation operators is recommended for computational structural biologists





Three Fundamental Rotations

- Three fundamental rotations can be defined in the Cartesian space:
 - $R_x(\alpha)$, $R_y(\alpha)$ and $R_z(\alpha)$ each denotation rotation about x, y and z axes respectively
- Each rotation operator can transform the location/orientation of a single/multiple points/vectors in space

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = R_{3 \times 3} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \Leftrightarrow X' = R \cdot X$$

$$\begin{pmatrix} X'_1 & X'_2 & \cdots & X'_n \end{pmatrix} = R \cdot \begin{pmatrix} X_1 & X_2 & \cdots & X_n \end{pmatrix}$$

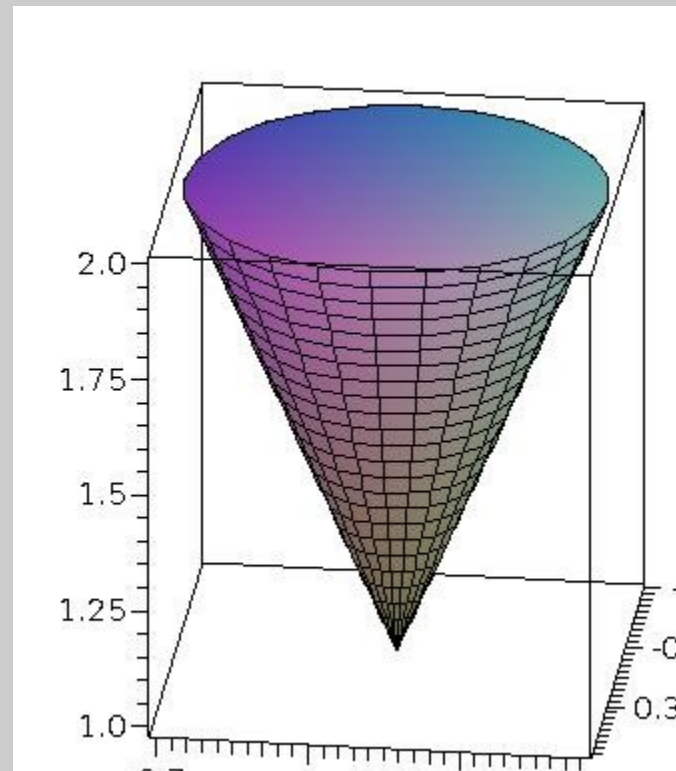


Derivation of $R_z(\alpha)$

- Rotation of any vector about z will produce another vector on the surface of a cone
- Rotation about z will not alter the z component of X and X'
- We will utilize the following two trig identities:

$$\cos(\phi + \alpha) = \cos(\phi)\cos(\alpha) - \sin(\phi)\sin(\alpha)$$

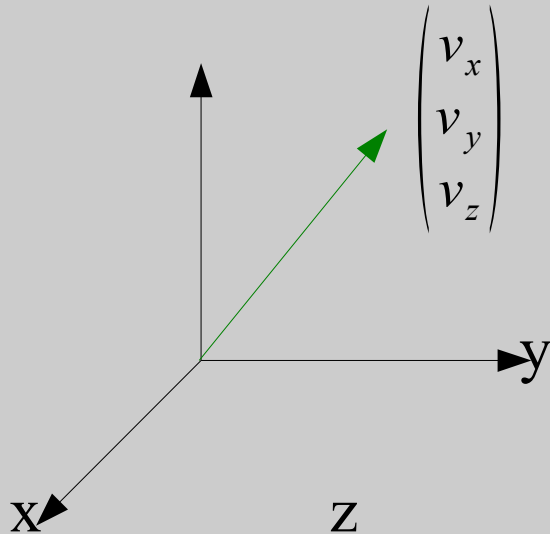
$$\sin(\phi + \alpha) = \sin(\phi)\cos(\alpha) + \cos(\phi)\sin(\alpha)$$





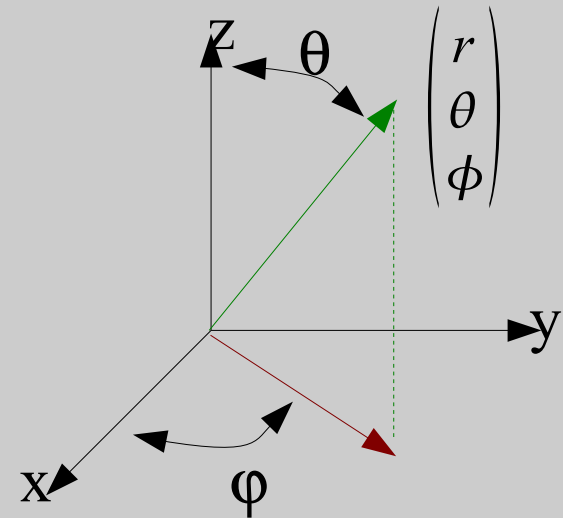
Cartesian versus Spherical Coordinates

- Cartesian Coordinates



$$\begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = \begin{pmatrix} r \sin(\theta) \cos(\phi) \\ r \sin(\theta) \sin(\phi) \\ r \cos(\theta) \end{pmatrix}$$

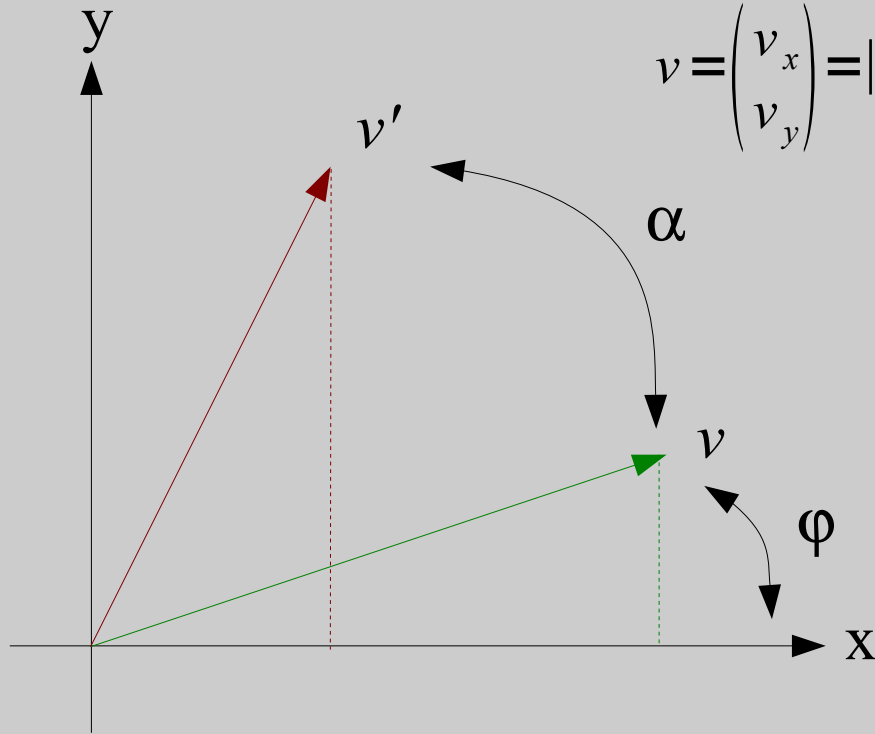
- Spherical Coordinates



$$\begin{pmatrix} r \\ \theta \\ \phi \end{pmatrix} = \begin{pmatrix} \sqrt{v_x^2 + v_y^2 + v_z^2} \\ \cos^{-1}(v_z/r) \\ \tan^{-1}(v_y, v_x) \end{pmatrix}$$



Derivation of $R_z(\alpha)$



$$v = \begin{pmatrix} v_x \\ v_y \end{pmatrix} = \|v\| \begin{pmatrix} \cos(\phi) \\ \sin(\phi) \end{pmatrix} \quad v' = \begin{pmatrix} v'_x \\ v'_y \end{pmatrix} = \|v\| \begin{pmatrix} \cos(\phi + \alpha) \\ \sin(\phi + \alpha) \end{pmatrix}$$

$$v' = \begin{pmatrix} \cos(\phi)\cos(\alpha) - \sin(\phi)\sin(\alpha) \\ \sin(\phi)\cos(\alpha) + \cos(\phi)\sin(\alpha) \end{pmatrix}$$

$$v' = \begin{pmatrix} v_x \cos(\alpha) - v_y \sin(\alpha) \\ v_y \cos(\alpha) + v_x \sin(\alpha) \end{pmatrix}$$

$$v' = \begin{pmatrix} \cos(\alpha) & -\sin(\alpha) \\ \sin(\alpha) & \cos(\alpha) \end{pmatrix} \cdot \begin{pmatrix} v_x \\ v_y \end{pmatrix}$$

$$v' = \begin{pmatrix} v'_x \\ v'_y \\ v'_z \end{pmatrix} = \begin{pmatrix} \cos(\alpha) & -\sin(\alpha) & 0 \\ \sin(\alpha) & \cos(\alpha) & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = R_z(\alpha) \cdot v$$



Example

- Rotate vector $(0,1,0)$ by 90° about z

$$v' = R_z(\pi/2) \cdot v = \begin{pmatrix} \cos(\pi/2) & -\sin(\pi/2) & 0 \\ \sin(\pi/2) & \cos(\pi/2) & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} -1 \\ 0 \\ 0 \end{pmatrix}$$

- Rotate vector $(0,1,0)$ by -90° about z

$$v' = \begin{pmatrix} \cos(-\pi/2) & -\sin(-\pi/2) & 0 \\ \sin(-\pi/2) & \cos(-\pi/2) & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

- Rotate vector $(0,0,z)$ by α° about z

$$v' = \begin{pmatrix} \cos(\alpha) & -\sin(\alpha) & 0 \\ \sin(\alpha) & \cos(\alpha) & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} 0 \\ 0 \\ z \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ z \end{pmatrix}$$



Rotation Operators (Matrices)

- R_x , R_y and R_z denote rotations about X, Y and Z respectively

$$R_x(\alpha) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos(\alpha) & -\sin(\alpha) \\ 0 & \sin(\alpha) & \cos(\alpha) \end{bmatrix}$$

$$R_y(\alpha) = \begin{bmatrix} \cos(\alpha) & 0 & \sin(\alpha) \\ 0 & 1 & 0 \\ -\sin(\alpha) & 0 & \cos(\alpha) \end{bmatrix}$$

$$R_z(\alpha) = \begin{bmatrix} \cos(\alpha) & -\sin(\alpha) & 0 \\ \sin(\alpha) & \cos(\alpha) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



Euler Rotation

- A total of twelve valid combinations
 - Valid: XYX , ZYZ , ZYX , ...
 - Invalid: XXY , ZYY , ...
- Normally use ZYZ

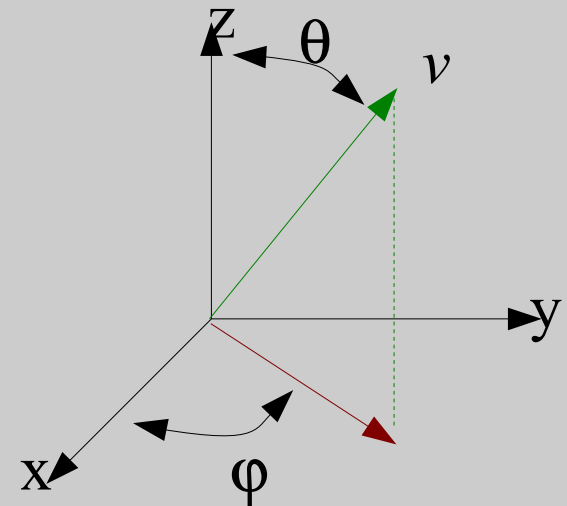
$$\xi(\gamma, \beta, \alpha) \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix} = R_z(\gamma) \cdot R_y(\beta) \cdot R_z(\alpha) \begin{pmatrix} v_x \\ v_y \\ v_z \end{pmatrix}$$

$$\xi(\gamma, \beta, \alpha) = \begin{bmatrix} \cos(\gamma) & -\sin(\gamma) & 0 \\ \sin(\gamma) & \cos(\gamma) & 0 \\ 0 & 0 & 1 \end{bmatrix} \times \begin{bmatrix} \cos(\beta) & 0 & \sin(\beta) \\ 0 & 1 & 0 \\ -\sin(\beta) & 0 & \cos(\beta) \end{bmatrix} \times \begin{bmatrix} \cos(\alpha) & -\sin(\alpha) & 0 \\ \sin(\alpha) & \cos(\alpha) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$



Rotation About any Arbitrary Axis

- Can represent the arbitrary axis of rotation in its spherical coordinates (θ, ϕ)



Put v in its original place

Put v on z - x plane where it was

Rotate about z or v

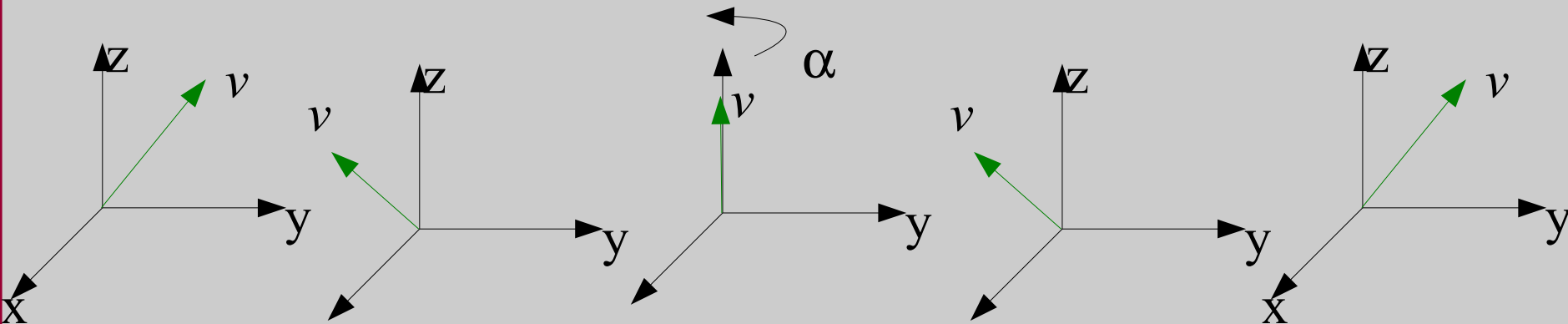
v on z axis

v on z - x plane

$$R_{\vec{v}}(\alpha) = R_z(\phi) R_y(\theta) R_z(\alpha) R_y(-\theta) R_z(-\phi)$$



Rotation About any Arbitrary Axis



Put v in its original place

Put v on z - x plane where it was

Rotate about z or v

v on z axis

$$R_{\vec{v}}(\alpha) = R_z(\phi) R_y(\theta) R_z(\alpha) R_y(-\theta) R_z(-\phi)$$

v on z - x plane



Properties of Rotation Operators

- Rotation operators are unitary operators:

$$R \cdot R^* = R^* \cdot R = I \qquad R \cdot R^T = R^T \cdot R = I$$

- Rotation operators preserve internal relationship between points

$$R^T = R^{-1}$$

$$R_i(\alpha) \cdot R_i(-\alpha) = I \Rightarrow R_i(-\alpha) = R_i(\alpha)^T = R_i(\alpha)^{-1}$$

$$\xi(\alpha, \beta, \gamma)^{-1} = \xi(-\gamma, -\beta, -\alpha)$$

$$R_{\vec{v}}(\alpha)^{-1} = R_{\vec{v}}(-\alpha)$$



Z Rotation Operator

