

Mergeable Heaps: Fibonacci heaps

A fibonacci heap implements the mergeable heap operations with amortized times as follows:

Insert	—	$O(1)$
Merge	—	$O(1)$
*DecreaseKey	—	$O(1)$
DeleteMin (ExtractMin)	—	$O(\lg n)$
FindMin	—	$O(1)$
Delete	—	$O(\lg n)$

Implementation

Doubly linked circular list of trees (tree roots), each tree in min-heap order. Each node has these fields

- x.key (includes satellite data)
- x.parent
- x.left — left sibling of x
- x.right — right sibling of x
- x.degree — # children of x
- x.child — points to any child of x
- x.mark — boolean (default set to false)

Children of a node are kept in a doubly linked circular list (left & right fields are the links)

The heap H has two attributes

- H.min — points to the node with min key (a root)
- H.n — # items in the heap.

FindMin(H) — return H.min

H = Merge(H<sub>1</sub>, H<sub>2</sub>) — merge the two root lists into a single list (set H.min accordingly)

$O(1)$

Insert(H, x) — create a new fib heap with one element x, merge with H.

Potential Function for fib heap H

$$\Phi(H) = \# \text{ of trees} + 2(\# \text{ marked nodes})$$

Given a number of heaps H<sub>1</sub>, ..., H<sub>k</sub> which could be merged,

$$\Phi(H_{\text{union}}) = \sum_{i=1}^k \Phi(H_i)$$

Check that everything above has amortized time  $O(1)$ .

FindMin:

$$\hat{c}_i = c_i + \Phi(H_{\text{before}}) - \Phi(H_{\text{after}}) = c_i = O(1)$$

H = Merge(H<sub>1</sub>, H<sub>2</sub>)

$$\Phi(H_1 \cup H_2) = (\# \text{ trees in } H_1 + \# \text{ trees in } H_2) + 2(\# \text{ marked nodes in } H_1 + \# \text{ marked nodes in } H_2)$$

$$\Phi(H) = (\# \text{ trees in } H_1) + (\# \text{ trees in } H_2) + 2(\# \text{ marked nodes in } H_1) + 2(\# \text{ marked nodes in } H_2)$$

so  $\Phi(H) = \Phi(H_1, H_2)$

$\therefore \hat{c}_i = c_i + 0 = c_i = O(1)$

Insert(H, x)

$$\hat{c}_i = c_i + \Delta \Phi = O(1)$$

$O(1) + 1 + 0$

added a tree      change in # of marked nodes

DecreaseKey(H, x, k)  $k < x.key$

x.key is k

If  $k \geq x$  parent key then return (nothing to do)

else let p be x's parent

remove x from its sibling list and make it the root of a new tree in H's tree list

if ! p.mark then

    p.mark = true

    // mark the parent

else, cut p out of the list of siblings and promote it to a new tree root.

and so on ...

no tree roots are marked

mark guarantees that no marked node loses more than one child.

Amortized analysis of DecreaseKey:

let  $r$  be the number of cuts.  
assume each cut takes time 1.

#trees increases by  $r$   
#marked nodes decreases by at least  $r-2$

So  $\hat{C}_i := \underbrace{O(1)}_{\text{changing key, compare with parent}} + r + \Delta(\#trees) + 2\Delta(\#marked\ nodes)$

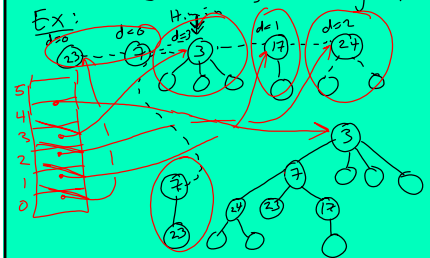
$$\leq O(1) + r + r - 2(r-2)$$

$$= O(1) + 4 = O(1)$$

Delete( $H, x$ )  
DecreaseKey( $x, -\infty$ )  $\frac{\text{amortized time}}{O(1)}$   
DeleteMin( $H$ )  $O(\lg n)$

DeleteMin( $H$ )  
 $O(1)$  { Remove  $\sqrt{x}$  & save (for return)  $H.min$  from the root list  
promote  $H.min$ 's children to the root list (merging the 2 lists)

Consolidate: Traverse the root list, looking for 2 trees of the same degree ( $d$ , say)  
when found, combine into a single tree of degree  $d+1$  (as with a binomial heap) by making one root a child of the other root.  
Continue until no two trees have the same degree.  
Store degrees of trees in an array index by the degree.



When done traversing/combining, read off the non-null entries in the array to form a list of trees with distinct degrees.

Next time: Let  $D(n)$  be the max possible degree of any tree in a heap with  $n$  items

Prove next time that  $D(n) = O(\lg n)$

Amortized cost:  
 ~~$O(\lg n)$  traverse/combine steps~~  
single combine:  
 $\Delta(\#trees) = -1$   
 $\Delta(\#markednodes) = 0$

