

Topics: Growth ~~and rates~~ of functions (asymptotic)

- Summations (algs with loops)
- Recurrences (" " recursive calls)
- Basic algs: sorting, searching, selection
  - " data structures: binary trees, heaps, hash tables, graphs
- NP-completeness & polynomial reductions

~~for i := 1 to n~~  
~~i := 0~~  
sum := 0  
while i ≤ n do:  
    j :=  
    for j := 1 to i do  
        sum +=  
    i := 2 \* i

$O(n)$

---

$O(n \log_2 n)$

versus

sum := 0  
i := 0  
while i ≤ n do:  
    for j := i to n do  
        sum +=  
    i := 2 \* i

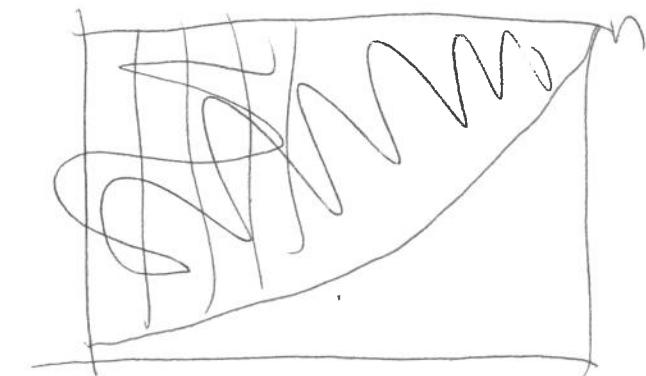
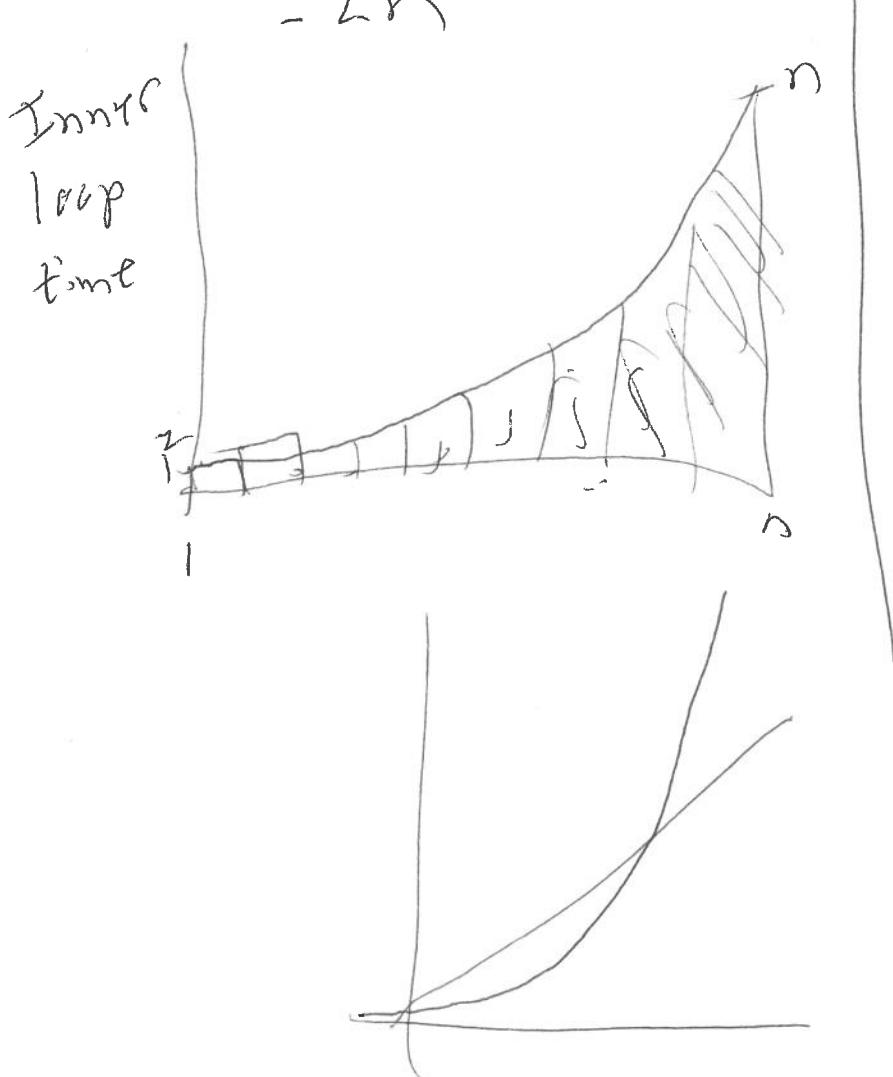
$O(n)$

---

$O(n \log_2 n)$

Finer analysis: Inner loop takes time ②

$$\begin{aligned}
 & O(i) & O(n-i) \\
 & 1+2+4+8+\dots+\cancel{n}^{\text{approx}} & (n-1)+(n-2)+(n-4)+\dots(0) \\
 & \log n \text{ many terms} & \log n \text{ many terms} \\
 & \therefore n(n+(n-1)) & (n \log_2 n) - 2n \\
 & \approx 2n & > 4n \quad (n \geq 16) \\
 & & > 4n - 2n = 2n
 \end{aligned}$$



First loop runs much faster!

Multiply 2 (unsigned) integers in binary ③  
(n-bit)

Recursive algorithms (divide & conquer)

Two integers

$$A = A_h \cdot 2^{n/2} + A_e$$

$$B = B_h \cdot 2^{n/2} + B_e$$

$$AB = (A_h \cdot 2^{n/2} + A_e)(B_h \cdot 2^{n/2} + B_e)$$

$$= \underbrace{A_h B_h \cdot 2^n}_{\text{recursively find these products}} + \underbrace{(A_h B_e + A_e B_h) 2^{n/2}}_{\substack{| \\ |}} + \underbrace{A_e B_e}_{\text{arithmetic shift left by } \frac{n}{2}}$$

recursively find these products

1. Arithmetic shift of  $A_h B_h$  by  $n$  positions left

2. Add  $\underbrace{A_h B + A_e B_h}_{O(n)}$  then arithmetic shift left by  $\frac{n}{2}$

Add  $\underline{(1.) + (2.)}$  to  $\underbrace{A_e B_e}_{O(n) \text{ time}}$  → return this

Analysis: this algo takes quadratic time (4)  
 $\mathcal{O}(n^2)$  (tight),

Another divide/conquer algo for the same thing:

$$A = A_h \cdot 2^{n/2} + A_e$$

$$B = B_h \cdot 2^{n/2} + B_e$$

3 recursive calls:

$$\boxed{A_h B_h, \underbrace{A_h B_e + A_e B_h}_{P}, \underbrace{(A_h + A_e)(B_h + B_e)}_{P}}$$

$$(\cancel{A_h + B_h}) (A_h + A_e)(B_h + B_e)$$

$$= A_h B_h + \boxed{A_h B_e + A_e B_h} + A_e B_e = P$$

Return:

$$2^n \cdot \boxed{A_h B_h} + 2^{n/2} \left( P - \boxed{A_h B_h} - \boxed{A_e B_e} \right) + \boxed{A_e B_e}$$

$$= AB \quad \mathcal{O}(n)$$

Takes time  $\mathcal{O}(n^{\log_2 3})$

$$n^2 = n^{\log_2 4}$$

# Asymptotic growth rates

(5)

$f, g : \mathbb{N} \rightarrow \mathbb{R}$  eventually positive  
 $\left[ f(n) > 0, g(n) > 0 \right]$   
 for all sufficiently large

Def:  $\stackrel{(1)}{f(n) = O(g(n))}$   $\left[ f \in O(g) \right]$

means  $\exists C, n_0, \forall n \geq n_0,$

$\left[ \text{pr. const.} \right] \text{ threshold} \quad f(n) \leq C \cdot g(n)$

" $f(n)$  grows asymptotically no faster than  $g(n)$ "

(2)  $f(n) = \Omega(g(n))$  means  $g(n) = O(f(n))$

i.e.,  $\exists C > 0, \exists n_0, \forall n \geq n_0, f(n) \geq C \cdot g(n)$

" $f(n)$  grows asymptotically at least as fast as  $g(n)$ "

(3)  $f(n) = \Theta(g(n))$  means  $f(n) = O(g(n))$   
 and  $f(n) = \Omega(g(n))$

" $f$  &  $g$  are asymptotically the same"

(6)

In analyzing algorithms, we only care about the asymptotic growth rate of the time (or space) required by the algo.

$n$  generally refers to the size of the input.

Why no <sup>char</sup> const factors? Need machine independence

Why no threshold? Small inputs don't distinguish algorithms well.

Claim:  $3n^2 + 10n - 2 = O(n^2)$

Proof: Show that  $3n^2 + 10n - 2 \leq 4n^2$

$$10n - 2 \leq n^2$$

↑

$$10n \leq n^2$$

true if  $n \geq 10$

↑  
 $n$

QED.