

CSC 355  
1/8/24

Course homepage:

<https://cse.sc.edu/~fenner/csc355>

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Theory course — Mathematical.

TA: Canyu Zhang (canyu@email.sc.edu)

Start with a simple model of computation  
(automata)

Inputs & outputs of computers — strings

Def: An alphabet is any nonempty finite set.  
For ~~alphabet~~ alphabet  $\Sigma$ , the elements of  
 $\Sigma$  are called symbols (also letters, characters).

Ex:  $\Sigma := \{0, 1\}$  binary alphabet

$\Sigma := \{0, \dots, n-1\}$  ( $n > 0$ ) n-ary alphabet

( $n=1$ )  $\Sigma := \{0\}$  unary alphabet

$\Sigma := \{a, b, c\}$

Def: Fix an alphabet  $\Sigma$ . A string over  $\Sigma$   
is a finite sequence of letters (zero or more)  
from  $\Sigma$ .

Ex:  $|aabac| = 5$

(2)

Def: For any string  $x$ , use  $|x|$  to denote the length of  $x$ .

Ex:       $aa$       length 2  
              $b$          " 1

Identify symbols from  $\Sigma$  with length-1 strings. There is a unique string of length 0 (over any alphabet). We denote it by  $\epsilon$  (lower-case epsilon).  $\epsilon$  is never a symbol of any alphabet.

$\epsilon$       length 0 (unique)

Concatenation [assume any fixed alphabet  $\Sigma$ ]

Let  $x$  and  $y$  be strings. The concatenation of  $x$  and  $y$  (or  $x$  followed by  $y$ ) is the string starting with  $x$  and continuing with  $y$ . Denoted  $xy$ .

Ex:       $x := aaba$  }  $xy = aabacb$  } different  
              $y := cb$  }  $yx = cbaaba$  }

Concat is associative:  $(xy)z = x(yz) = xyz$  (3)

generally:  $x_1, x_2, \dots, x_n$  concat of  $x_1, x_2, \dots, x_n$

Special case:  $x^n := \underbrace{xx \dots x}_{n \text{ times}}$  ( $n = 0, 1, 2, \dots$ )

Ex:

$$x^2 = xx$$

$$x^3 = xxx$$

$$x^1 = x$$

$$x^0 = \varepsilon$$

Note:  $x\varepsilon = x = \varepsilon x$  for any string  $x$

Note  $|xy| = |x| + |y|$   $\dots \dots \dots$   $x, y$

Proofs by induction on string length.

Basic fact: For any string  $x$ , exactly one of the following holds:

1.  $x = \varepsilon$  or  $x = ya$  where  $y$  is a unique string and  $a$  is a unique symbol
2. There exist unique string  $y$  and unique symbol  $a$  such that  $x = ya$

In case 2,  $|y| = |x| - 1$  and

$y$  is called the principal prefix of  $x$   
 $a$  is called the last symbol of  $x$ .

Induction on string length;

(4)

$x = \epsilon$  usually the base case;

prove that  $\epsilon$  satisfies what you want to prove.

$x = ya$  is the inductive case.

~~Can use~~ Assuming what you want to prove is true for  $y$ , prove it true for  $x$ .

Conclude that every string satisfies what you want to prove.

Another use of induction: defining functions on strings: To define a function  $f$  on all strings:

base case  $\rightarrow$  1. define  $f(\epsilon)$

inductive case  $\rightarrow$  2. define  $f(x)$  for  $x = ya$  in terms of  $f(y)$

Ex: length function;

$$x = \epsilon \quad |\epsilon| = 0$$

$$x = ya \quad |ya| = |y| + 1 \quad (\text{any } a \in \Sigma^1)$$

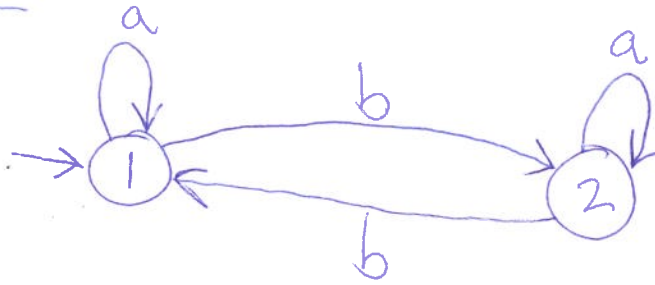
Def:  $\Sigma^{1*}$  denotes the set of all strings over  $\Sigma$ .

Ex:  $\{0\}^* = \{\epsilon, 0, 00, 000, \dots, 0^n, \dots\}$

$\{0,1\}^* = \{\epsilon, 0, 1, 00, 01, 10, 11, \dots\}$

Automata

Ex:



Ex:  $\Sigma^1 = \{a, b\}$

2-state automaton

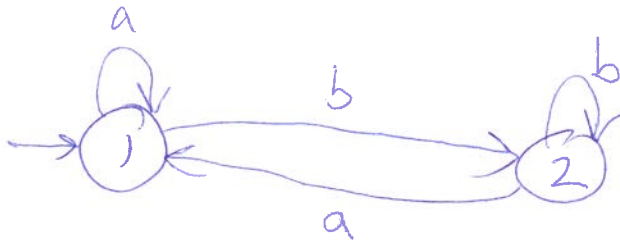
Input string: abbaba



final state 1: even # of b's

" " 2: odd # of b's

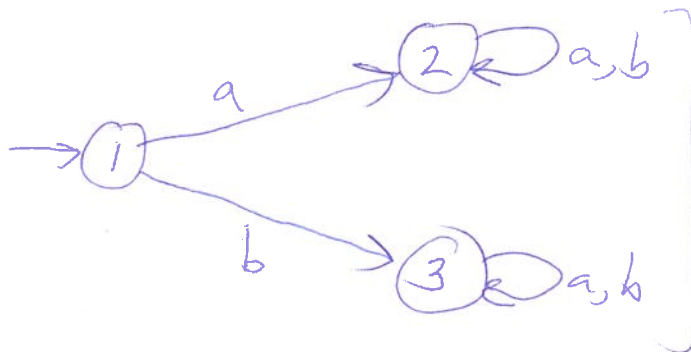
Ex:



~~final~~ final state 2: last symbol is b

" " 1: " " is not b

(either last symbol a or input is  $\epsilon$ )

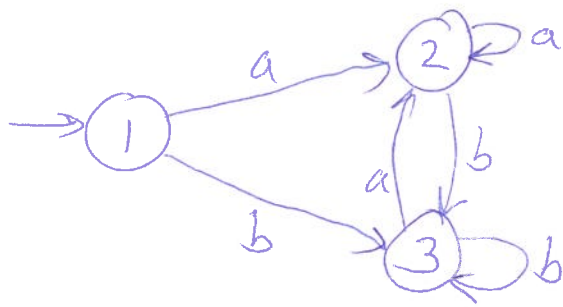


1 if  $\epsilon$

2 if 1st sym is a

3 if 1st sym is b

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- 1 if  $\epsilon$  last
- 2 if ~~1st~~ sym is a
- 3 if ~~1st~~ sym is b last

