

① CSCE 355  
Spring 2023

1/9/23

Foundations of Computing

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Computation takes input(s) and produces an output.

Def: An alphabet is any nonempty finite set. If  $\Sigma$  is an alphabet, we call the elements of  $\Sigma$  symbols, letters, or characters.

A Given an alphabet  $\Sigma$ , a string over  $\Sigma$  is any finite sequence of symbols from  $\Sigma$ .

Ex:  $\Sigma = \{a, b, c\}$

② some strings over  $\Sigma$ :

$\neq$   $\left( \begin{array}{l} aab \\ \cdot bca \\ \cdot a \\ \cdot ccccc \\ \cdot baab \\ \neq \left( \begin{array}{l} \cdot ba \\ \cdot \epsilon \end{array} \right) \end{array} \right.$  (the empty string)

$\epsilon$  is never a symbol of the alphabet.  
It stands for the empty string.

Def: The length of a string is the # of symbols making up the string including duplicates.

If  $x$  is a string, we let  $|x|$  denote the length of  $x$ . So  $|aab| = 3$ , etc.

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String concatenation: If  $x$  &  $y$  are strings  
The concatenation of  $x$  with  $y$ , written  $xy$  is the string  $y$  appended to  $x$ .

③ Ex: ~~x~~  $x = aab$   
 $y = ca$

$$xy = aabca$$

$$yx = caaab$$

Concat is associative:

$$(xy)z = x(yz) = xyz$$

[ $x, y, z$  are strings]

More generally,  $x_1 x_2 x_3 \dots x_k$

$$x^n \quad [x \text{ string, } n \geq 0 \text{ integer}]$$

$$x^n := \underbrace{xx \dots x}_{n \text{ times}} \quad [x^0 := \epsilon \text{ by convention}]$$

$x' = x$

Special case: <sup>(1)</sup>  $\Sigma = \{0, 1\}$  binary alphabet

Strings over  $\{0, 1\}$  are binary strings.

(2)  $\Sigma = \{0\}$  unary alphabet (unary strings)

unary strings  $\epsilon, 0, 00, 000, \dots, 0^n, \dots$

④ Def:  $\Sigma$  alphabet. The set of all strings over  $\Sigma$  (incl.  $\epsilon$ ) is denoted  $\Sigma^*$

$$\{0,1\}^* = \left\{ \underbrace{\epsilon}_0, \underbrace{0,1}_1, \underbrace{00,01,10,11}_2, \underbrace{000,001,010,011,100,101,110,111}_3, \dots \right\}$$

"length-first lexicographical order"

- Symbols from  $\Sigma$  are identified with strings of length 1.

$$|\epsilon| = 0 \quad (\text{no other string has length 0})$$

strings  
 $x, y$

$$|xy| = |x| + |y|$$

Induction on string length

Basic principle: For any string  $x \in \Sigma^*$

not both  $\left\{ \begin{array}{l} \text{Either} \\ \text{or} \end{array} \right. \begin{array}{l} x = \epsilon \quad \text{--- base case} \\ \text{there exist unique } y \in \Sigma^* \text{ and } a \in \Sigma \\ \text{such that } x = ya. \\ a \text{ is the } \underline{\text{last symbol}} \text{ of } x, \text{ and } y \text{ is the } \underline{\text{principal}} \end{array}$

⑤ and  $y$  is the principal prefix of  $x$ .

Note:  $|y| = |x| - 1 < |x|$

Note:  $\epsilon x = x\epsilon = x$  ( $x$  any string)

$\epsilon$  is an identity under concatenation.  
the

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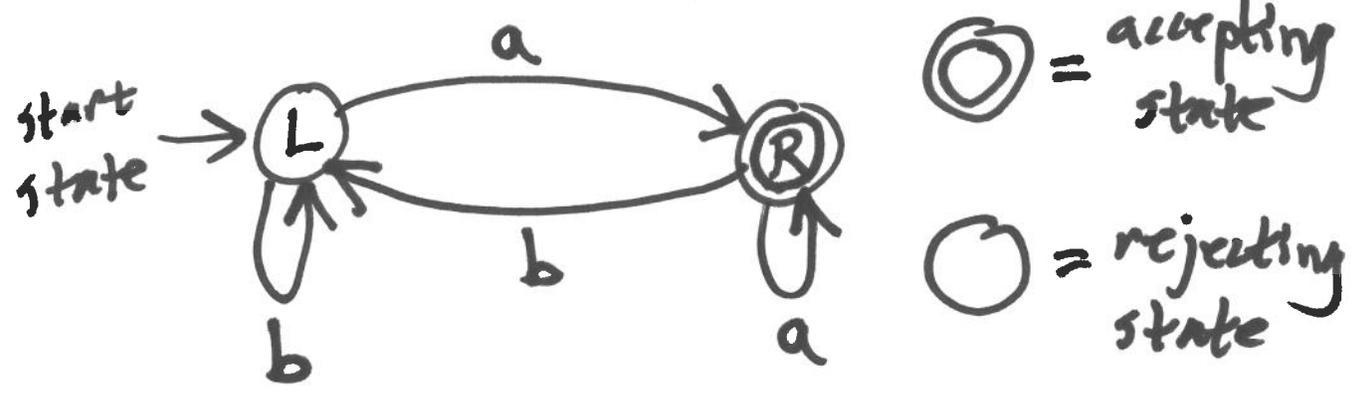
Finite Automata (model of computation)

An automaton will take a string as input, read it left to right, symbol by symbol, and at the end either accept or reject (the input)

Ex.  $\Sigma = \{a, b\}$

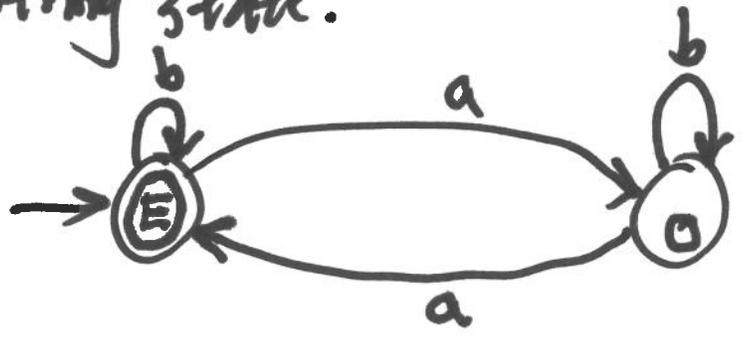
Automaton that accepts a string if and only if it ends in  $a$  (has  $a$  as the last symbol).

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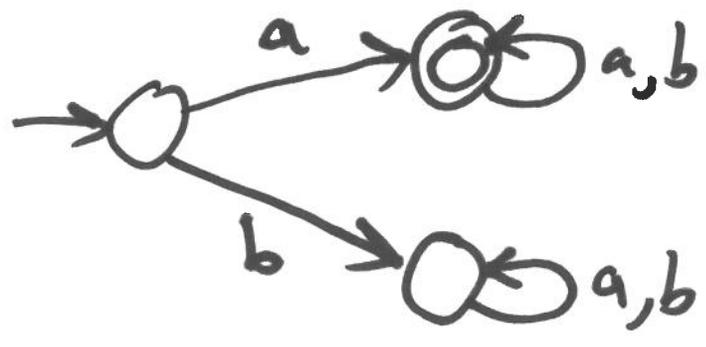
Input: abbbaca  
 ↑↑↑↑↑↑↑  
 L R L L L R R

Automaton accepts (by def) just when its last state (after the whole input) is an accepting state.

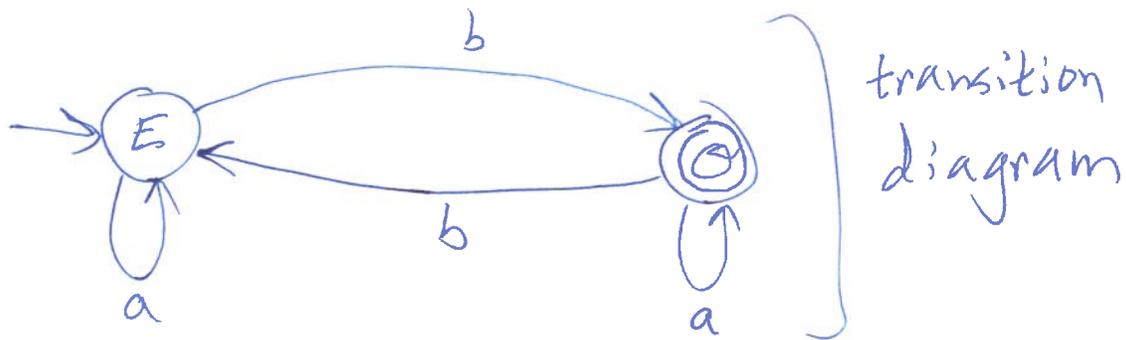


Accepts strings with even # of a's

Accepts iff 1st symbol is a



Recall:  $\Sigma = \{a, b\}$



Def: A deterministic finite automaton (DFA) is a 5-tuple  $\langle Q, \Sigma, \delta, q_0, F \rangle$  where

-  $Q$  is a finite set (elements of  $Q$  are states)

[Ex:  $Q = \{E, O\}$ ]

-  $\Sigma$  is an alphabet (the input alphabet)

[Ex:  $\Sigma = \{a, b\}$ ]

-  $q_0 \in Q$  (the start state) [Ex:  $q_0 = E$ ]

-  $F \subseteq Q$  (elements of  $F$  are the accepting states; states not in  $F$  are rejecting states)

[Ex:  $F = \{O\}$ ]

-  $\delta: Q \times \Sigma \rightarrow Q$  (the transition function)

②

$$\delta(E, a) = E \quad \delta(E, b) = \emptyset$$

$$\delta(\emptyset, a) = \emptyset \quad \delta(\emptyset, b) = E$$

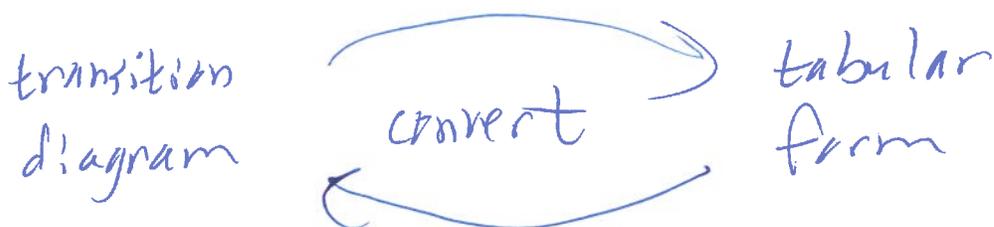
As a table:

→ = (start state)

\* = (accepting state)

	a	b
→ E	E	$\emptyset$
* $\emptyset$	$\emptyset$	E

tabular form

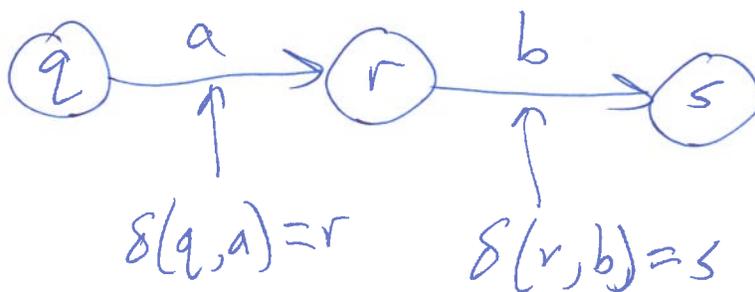


Recall:  $\Sigma^*$  is the set of all strings over  $\Sigma$ .

Given a DFA  $A = \langle Q, \Sigma, \delta, q_0, F \rangle$

want to extend the def of  $\delta$  to apply to strings.

Ex:



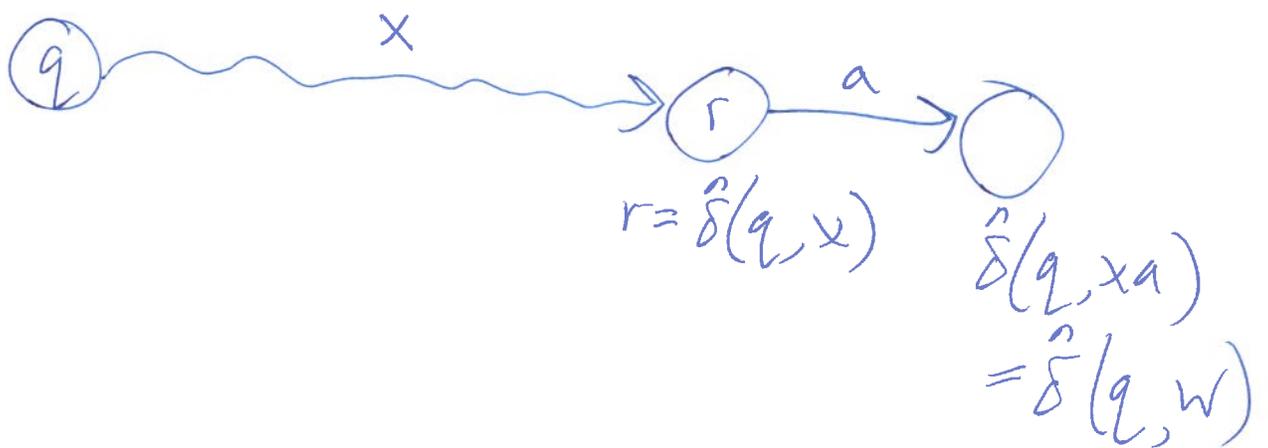
$$\hat{\delta}(q, ab) = s = \delta(\delta(q, a), b) = \delta(r, b)$$

③ Def. Given  $A$  as above we define the extended transition function  $\hat{\delta}: Q \times \Sigma^{1*} \rightarrow Q$  inductively as follows:

$$- \hat{\delta}(q, \varepsilon) = q \quad (\forall q \in Q)$$

- For any  $q \in Q$  and string  $w \neq \varepsilon$ , let  $w = xa$ , where  $x$  is the principal prefix of  $w$  and  $a$  is the last symbol of  $w$ .

$$\hat{\delta}(q, w) = \delta(\underbrace{\hat{\delta}(q, x)}_r, a)$$

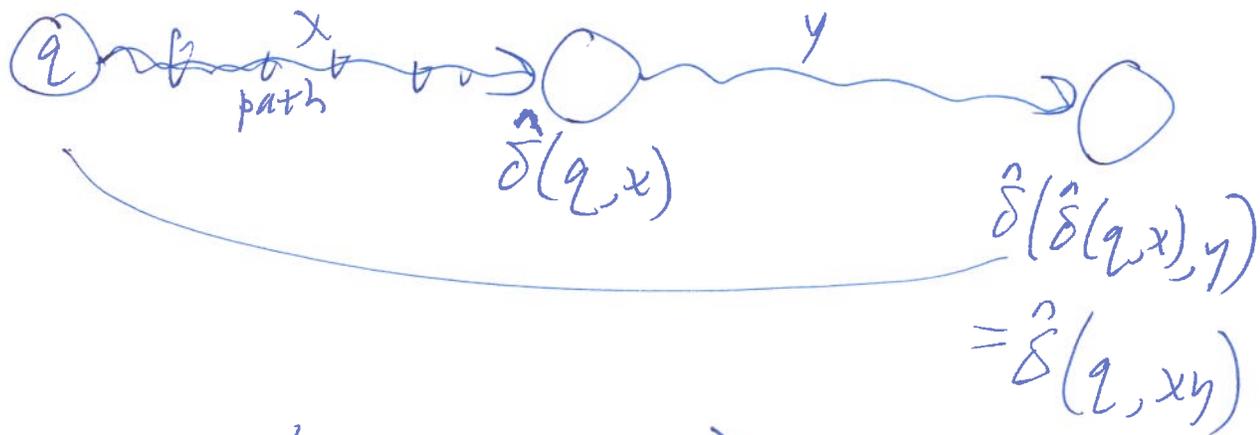


Prop.:  $\forall q \in Q, \forall a \in \Sigma, \hat{\delta}(q, a) = \delta(q, a)$

Proof.:  $\hat{\delta}(q, a) = \hat{\delta}(q, \varepsilon a)$   
 $= \delta(\underbrace{\hat{\delta}(q, \varepsilon)}_q, a) = \delta(q, a) \quad \square$

④ Prop:  $\forall q \in Q, \forall x, y \in \Sigma^*$

$$\hat{\delta}(q, xy) = \hat{\delta}(\hat{\delta}(q, x), y)$$



Def: Let  $A = \langle Q, \Sigma, \delta, q_0, F \rangle$  be a DFA and  $w \in \Sigma^*$  a string over  $\Sigma$ .

A accepts  $w$  means  $\hat{\delta}(q_0, w) \in F$ . } otherwise, A rejects  $w$

Equivalently, suppose  $w = w_1 w_2 \dots w_n$  ( $n \geq 0$  and  $w_i \in \Sigma$ ).

The computation (computation path) (trace) is the sequence of states (computational)

$s_0, s_1, \dots, s_n \in Q$  that  $A$  goes through reading  $w$

That is

-  $s_0 = q_0$

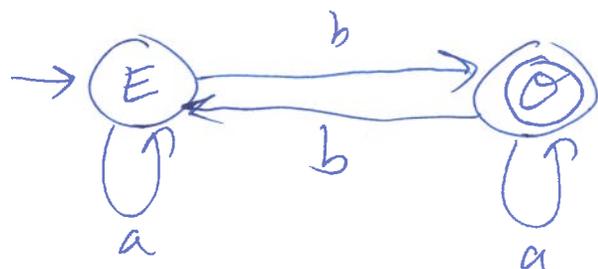
- for all  $1 \leq i \leq n, s_i = \delta(s_{i-1}, w_i)$

⑤ we say the computation ends in  $s_n$ .

A accepts  $w$  iff its computation on input  $w$  ends in an accepting state ( $s_n \in F$ ).

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Ex:



Input: ababb

computation:  $E, E, \emptyset, \emptyset, E, \emptyset$

$E \xrightarrow{a} E \xrightarrow{b} \emptyset \xrightarrow{a} \emptyset \xrightarrow{b} E \xrightarrow{b} \emptyset$

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Fix an alphabet  $\Sigma$ .

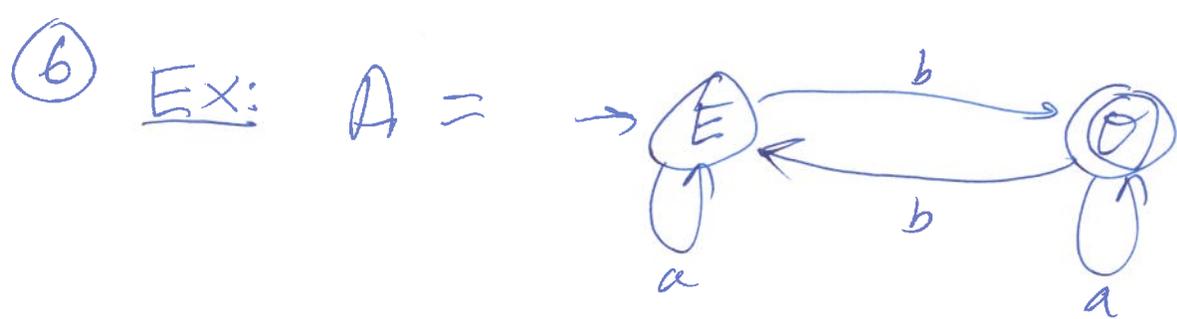
Def: A language over  $\Sigma$  is any subset of  $\Sigma^*$   
(any set of strings over  $\Sigma$ )

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Def: Given a DFA  $A$  with input alphabet  $\Sigma$ ,  
The language  $L(A)$  recognized by  $A$  is

$$L(A) := \{ x \in \Sigma^* : A \text{ accepts } x \}$$

$A$  recognizes  $L(A)$ .

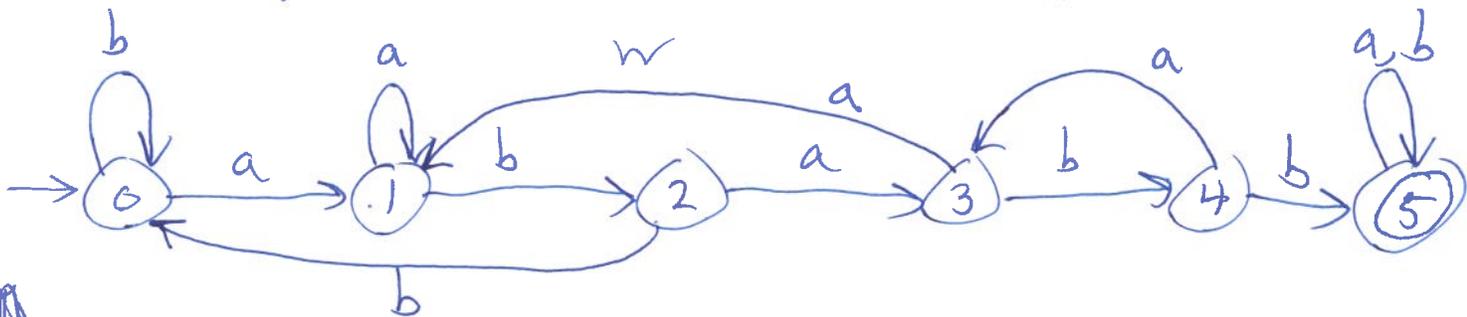


$L(A) = \{ \underline{w} \in \{a, b\}^* : w \text{ has an odd number of } b\text{'s} \}$

$abb \notin L(A)$  rejected  
 $baa \in L(A)$  accepted

DFA examples:  $\Sigma = \{a, b\}$

Want a DFA that accepts a string  $w$  iff it has ababb as a substring



Idea: DFA is in state  $i$  iff ~~the~~ it has read a prefix of the search string of length  $i$  (but not greater)

⑦ DFA that (input alphabet  $\{0,1\}$ ) that accepts a binary string iff it represents a multiple of 3 in binary. ( $\epsilon$  represents 0 by convention)

$$0, \epsilon = 0$$

$$1 = 1$$

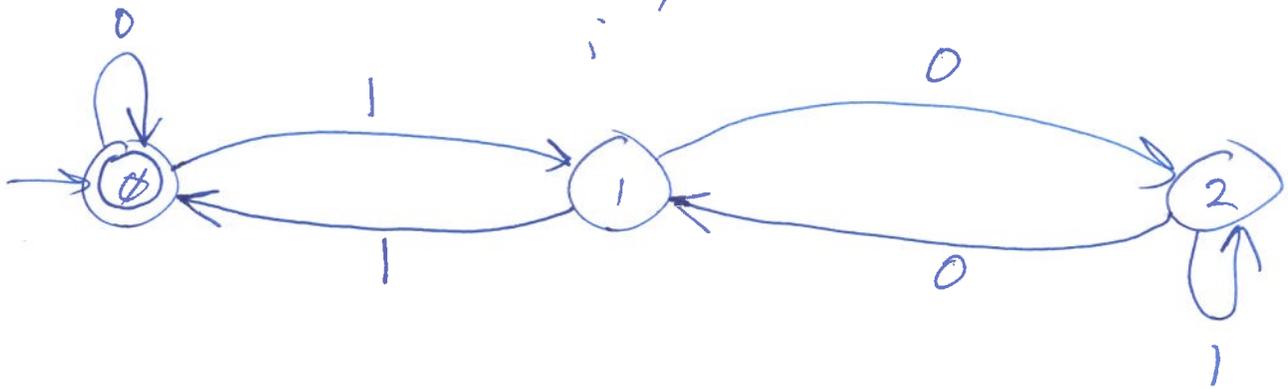
$$10 = 2$$

$$11 = 3$$

$$100 = 4$$

⋮

$\lfloor 10001$



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①

Def: Fix an alphabet  $\Sigma$ . Let  $L \subseteq \Sigma^*$  be a language over  $\Sigma$ .  $L$  is regular if there exists a DFA recognizing  $L$  ( $L = L(A)$  for some DFA  $A$ ).

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Def: For alphabet  $\Sigma$ ,  $REG_{\Sigma}$  is the class of all regular languages over  $\Sigma$ .

$$REG_{\Sigma} := \{ L \subseteq \Sigma^* : L \text{ is regular} \}$$
$$= \{ L(A) : A \text{ is a DFA with input alphabet } \Sigma \}$$

Def:  $L \subseteq \Sigma^*$ . The complement of  $L$  (in  $\Sigma^*$ )

is  $\underline{L} := \{ w \in \Sigma^* : w \notin L \} = \boxed{\Sigma^*} \setminus L$

Prop: The complement of a regular language is regular. ( $REG_{\Sigma}$  is closed under complements.)

Proof. By construction: Given any DFA  $A$  (2)

$$A = \langle Q, \Sigma, \delta, q_0, F \rangle$$

define the DFA

$$\neg A := \langle Q, \Sigma, \delta, q_0, \underline{Q \setminus F} \rangle$$

("complement construction")

Claim that  $L(\neg A) = \overline{L(A)}$ .

Given any  $w \in \Sigma^*$ , let  $q := \hat{\delta}(q_0, w)$   
(same in  $\neg A$  as in  $A$ )

$A$  accepts  $w \iff q \in F$   
def of acceptance

$$\iff q \notin Q \setminus F$$

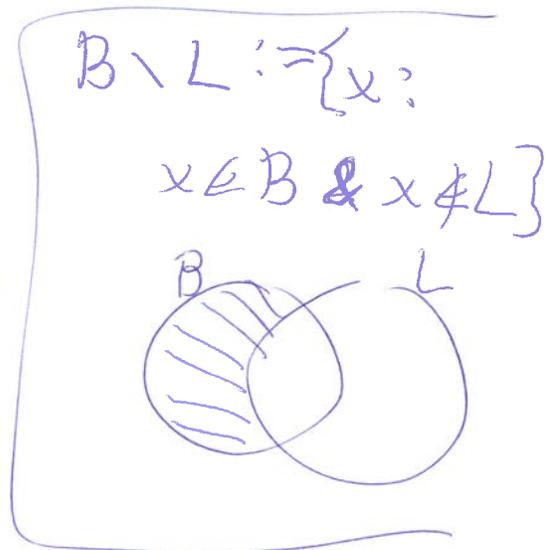
$$\iff \neg A \text{ rejects } w$$

$$\therefore L(\neg A) = \{w : A \text{ rejects } w\} = \overline{L(A)}$$

$\therefore$  If a lang is regular, so is its complement //

Prop:  $REG_{\Sigma}$  is closed under intersection.

(The intersection of two regular languages is regular.)



Proof: By construction. Let ~~A~~

③

$$A := \langle Q_A, \Sigma, \delta_A, \underline{q_{0,A}}, F_A \rangle$$

and

$$B := \langle Q_B, \Sigma, \delta_B, \underline{q_{0,B}}, F_B \rangle$$

be any DFAs with input alphabet  $\Sigma$ ,

Construct a DFA

$$C := \underline{A \wedge B} := \langle Q, \Sigma, \delta, q_0, F \rangle, \text{ where}$$

$$Q := Q_A \times Q_B (= \{(q, r) : q \in Q_A \& r \in Q_B\})$$

$$q_0 := (\underline{q_{0,A}}, \underline{q_{0,B}})$$

$$F := \{(q, r) : q \in F_A \hat{\wedge} r \in F_B\} = F_A \times F_B$$

and, for every  $q \in Q_A$  and  $r \in Q_B$ , and every  $a \in \Sigma$ ,

$$\delta((q, r), a) := (\delta_A(q, a), \delta_B(r, a)).$$

Claim: For every  $q \in Q_A$ ,  $r \in Q_B$ ,  $w \in \Sigma^*$ ,

$$\hat{\delta}((q, r), w) = (\hat{\delta}_A(q, w), \hat{\delta}_B(r, w)).$$

$$[ \text{WTS: } L(A \wedge B) = \underline{\underline{\underline{\underline{\underline{L(A) \wedge L(B)}}}}}} L(A) \cap L(B) ]$$

Pf of claim: Induction on  $|w|$ .

(4)

Base case:  $w = \epsilon$ .

$$\hat{\delta}(q, r, \epsilon) \stackrel{\text{def of } \hat{\delta}}{=} (q, r) = (\hat{\delta}_A(q, \epsilon), \hat{\delta}_B(r, \epsilon))$$

def of  $\hat{\delta}_A$       def of  $\hat{\delta}_B$

$\therefore$  claim holds for  $w = \epsilon$ . Base case  $\checkmark$

Inductive case:  $w \neq \epsilon$ , so  $w = xa$  where  
 $x \in \Sigma^*$  is the principal prefix of  $w$   
 $a \in \Sigma$  " " last symbol of  $w$ .

[  $|x| < |w|$ , so can assume the claim holds for  $x$   
"inductive hypothesis" ]

$$\hat{\delta}(q, r, w) = \hat{\delta}(q, r, xa) \stackrel{\text{def of } \hat{\delta}}{=} \delta(\hat{\delta}(q, r, x), a)$$

$$\stackrel{\text{ind. hyp.}}{=} \delta(\hat{\delta}_A(q, x), \hat{\delta}_B(r, x), a)$$

$$\stackrel{\text{def of } \delta}{=} \left( \delta_A(\hat{\delta}_A(q, x), a), \delta_B(\hat{\delta}_B(r, x), a) \right)$$

$$\stackrel{\text{defs of } \hat{\delta}_A \text{ and } \hat{\delta}_B}{=} \left( \hat{\delta}_A(q, w), \hat{\delta}_B(r, w) \right) \quad [w = xa]$$

$\square$  Claim.

Show that  $L(A \cap B) = L(A) \cap L(B)$ . (5)

Let  $w \in \Sigma^*$  be arbitrary.

$$\begin{array}{ccc} \underbrace{A \cap B \text{ accepts } w}_{\substack{\updownarrow \\ w \in L(A \cap B)}} & \iff & \hat{\delta}(\underbrace{(q_{0,A}, q_{0,B})}_{q_0}, w) \in \underbrace{F}_{F_A \times F_B} \\ & \uparrow & \\ & \text{def of} & \\ & \text{acceptance} & \\ & \text{in } A \cap B & \end{array}$$

$$\begin{array}{c} \iff (\hat{\delta}_A(q_{0,A}, w), \hat{\delta}_B(q_{0,B}, w)) \in F_A \times F_B \\ \uparrow \\ \text{by the claim} \end{array}$$

$$\begin{array}{c} \iff \hat{\delta}_A(q_{0,A}, w) \in F_A \wedge \hat{\delta}_B(q_{0,B}, w) \in F_B \\ \uparrow \\ \text{by def of cartesian product} \end{array}$$

$$\iff A \text{ accepts } w \text{ and } B \text{ accepts } w$$

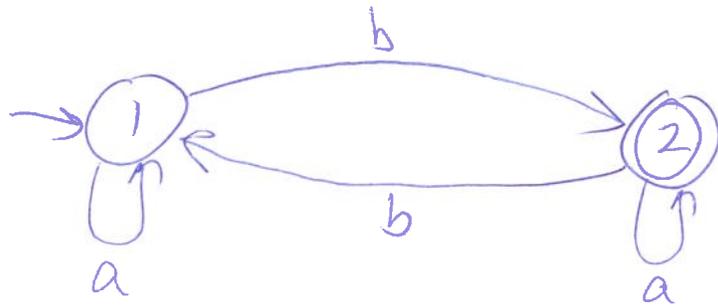
$$\iff w \in L(A) \cap L(B)$$

$$\therefore L(A \cap B) = L(A) \cap L(B).$$

$\therefore$  Proposition //

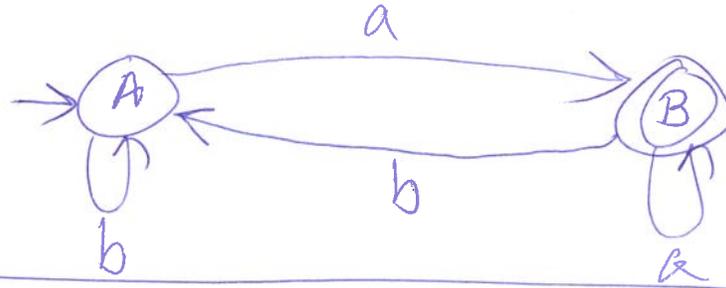
$A \cap B$  ("product construction")

Example  
 $A :=$

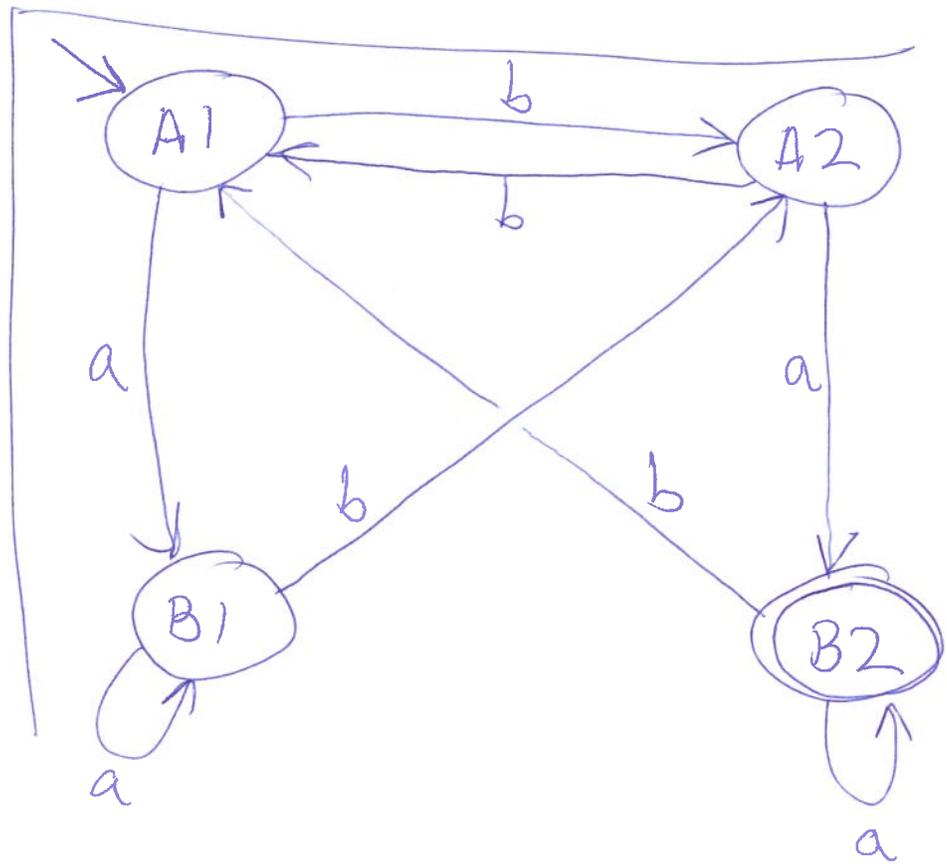
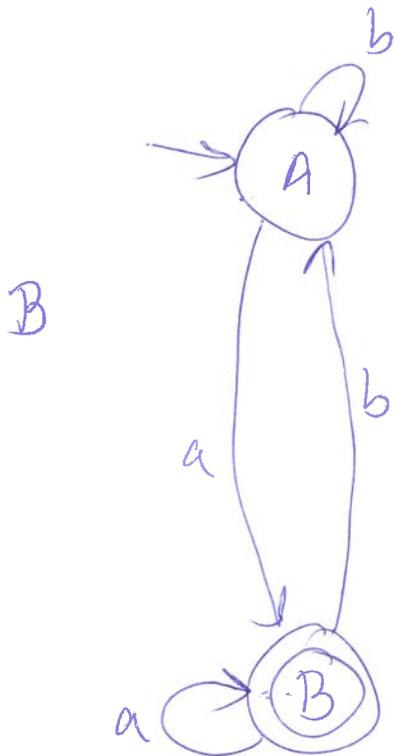
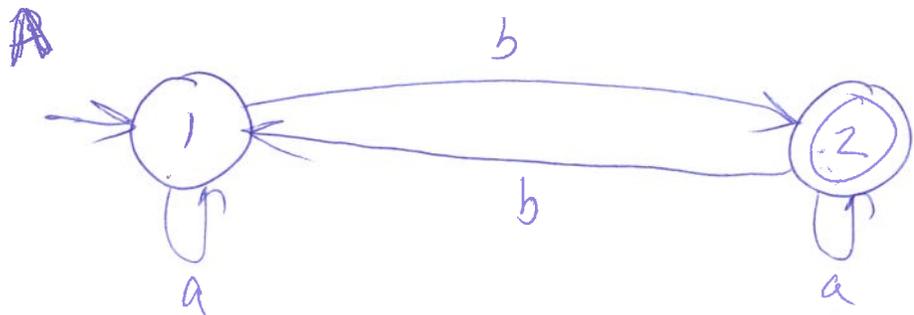


$\Sigma = \{a, b\}$   
 (6)

$B :=$



$B \wedge A$



Tabular Form  
of  $B \circ A$

(7)

	a	b
A <sub>1</sub>	B <sub>1</sub>	A <sub>2</sub>
A <sub>2</sub>	B <sub>2</sub>	A <sub>1</sub>
B <sub>1</sub>	B <sub>1</sub>	A <sub>2</sub>
B <sub>2</sub>	B <sub>2</sub>	A <sub>1</sub>

Corollary:  $REG_{\Sigma}$  is closed under all Boolean operations: IF  $L_1, L_2$  are regular, then so are

$\overline{L_1}$   
 $L_1 \cap L_2$

already proved

$$L_1 \cup L_2 = \overline{\overline{L_1} \cap \overline{L_2}}$$

$$L_1 \setminus L_2 = L_1 \cap \overline{L_2}$$

$$L_1 \Delta L_2 = (L_1 \setminus L_2) \cup (L_2 \setminus L_1)$$

⋮

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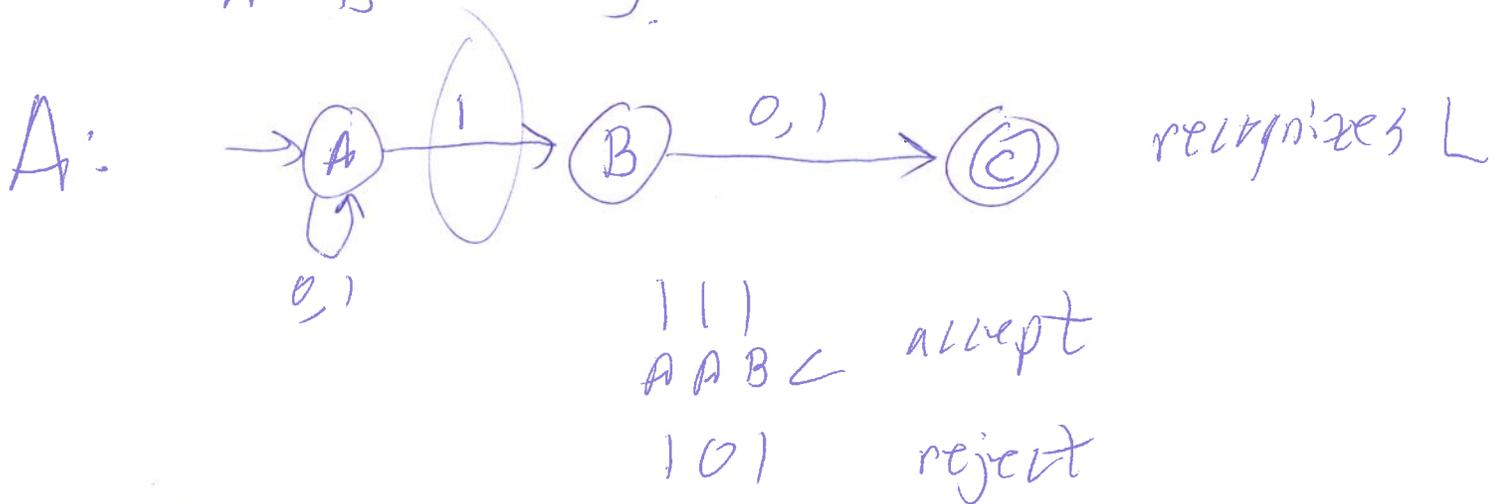
# Nondeterministic Finite Automata (NFA) ①

$\{1, 2, 3, 4\}$

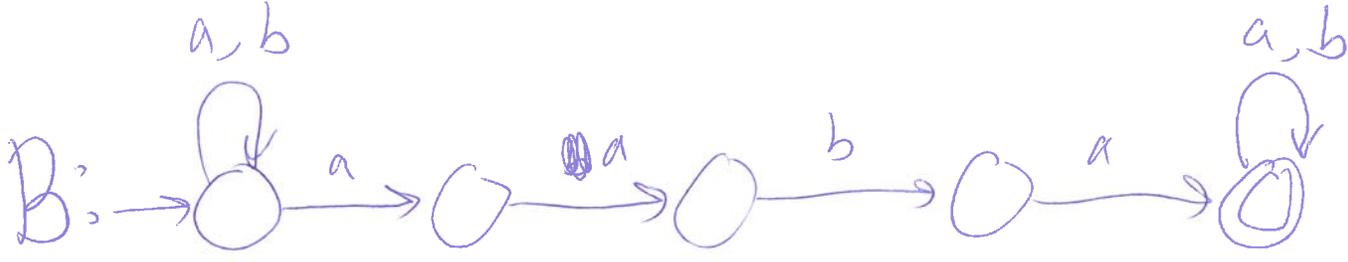
Relax the determinism restriction: any number of edges can leave the same state with the same label. NFA accepts a string  $w$  iff there is some choice of transitions that

1. end in an accepting state and
2. read the whole string

$L_1 = \{ w \in \{0, 1\}^* : \text{The 2nd last symbol of } w \text{ is a } 1 \}$



$L_2 = \{ w \in \{a, b\}^* : w \text{ contains } aaba \text{ as a substring} \}$



Def: A non-deterministic finite automaton (NFA)

is a 5-tuple  $\langle Q, \Sigma, \delta, q_0, F \rangle$  where

$Q, \Sigma, q_0, F$  are as with a DFA and

$$\delta: Q \times \Sigma \rightarrow 2^Q$$

also called  $\mathcal{P}(Q)$ , the powerset of  $Q$ , i.e., the set of all subsets of  $Q$

So  $\delta(q, a) \subseteq Q$

$$\forall q \in Q, \forall a \in \Sigma$$

states reachable from  $q$  by following an edge labeled with  $a$

A in tabular form:

	0	1
$\rightarrow A$	{A}	{A, B}
B	{C}	{C}
* C	$\emptyset$	$\emptyset$

(3)

Def. Let  $A = \langle Q, \Sigma, \delta, q_0, F \rangle$  be an NFA and  $w \in \Sigma^*$ . A complete computation path of  $A$  on input  $w$  is a sequence of states  $s_0, s_1, \dots, s_n \in Q$  such that there exist symbols  $w_1, \dots, w_n \in \Sigma$  such that

1.  $w = w_1 \dots w_n$

2.  $s_0 = q_0$

3. For every  $1 \leq i \leq n$ ,

$$s_i \in \delta(s_{i-1}, w_i)$$

"member of"
set of states

Say that the path ends in  $s_n$ .

Path is accepting if it ends in an accepting state ( $s_n \in F$ ) otherwise rejecting.

$A$  accepts  $w$  if there exists an accepting path of  $A$  on  $w$ .

$L(A)$ , the lang recognized by  $A$   
 is the same as with DFAs.

(4)

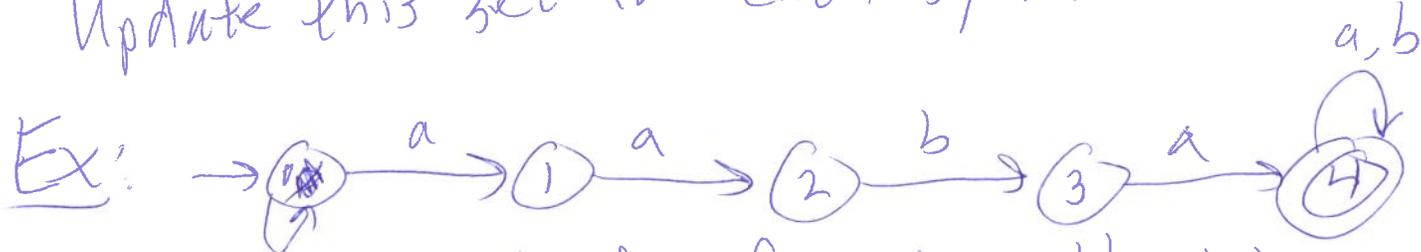
Note: A DFA ~~is~~ can be trivially converted  
 into an equivalent NFA.

recognizing the same language

Theorem: For every NFA there exists  
 an equivalent DFA.

How to simulate an NFA efficiently.

On input  $w$ , read  $w$  symbol by symbol,  
 keeping track of the set of states  
 possibly reachable ~~to~~ having read so far.  
 Update this set for each symbol read.



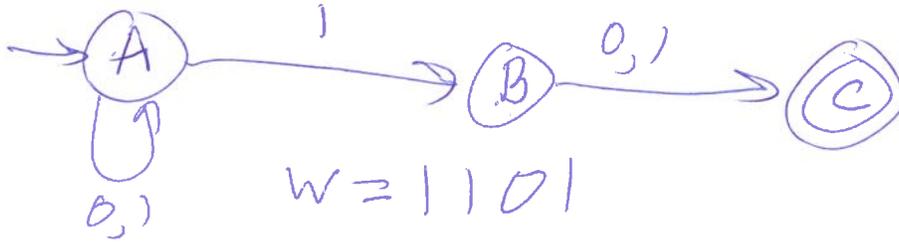
$w = baaabaa$

step	read so far	possible states
0	$\epsilon$	<del>0</del> 0
1	b	0
2	ba	0, 1
3	baa	0, 1, 2
4	baaa	0, 1, 2

5	baaab	0,3
6	baanba	0,1,4
7	baanbaa	0,1,2,4

(5)

EX:



step		
0	$\epsilon$	A
1	1	AB
2	11	ABC
3	110	AC
4	1101	AB

reject

"Proof" of the theorem: Idea: states of the

DFA are sets of states of the NFA.

Given NFA  $A := \langle Q, \Sigma, \delta, q_0, F \rangle$ ,

define DFA

$$D := \langle 2^Q, \Sigma, \Delta, Q_0, F \rangle$$

where

$$Q_0 := \{q_0\},$$

$$\mathcal{D} := \{S \subseteq Q : S \cap F \neq \emptyset\} \quad \textcircled{6}$$

and for any  $S \subseteq Q$  and  $a \in \Sigma$ ,

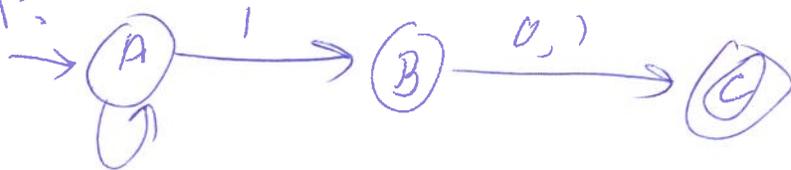
$$\Delta(S, a) := \bigcup_{q \in S} \delta(q, a)$$

$$= \{r \in Q : \exists q \in S, r \in \delta(q, a)\}$$

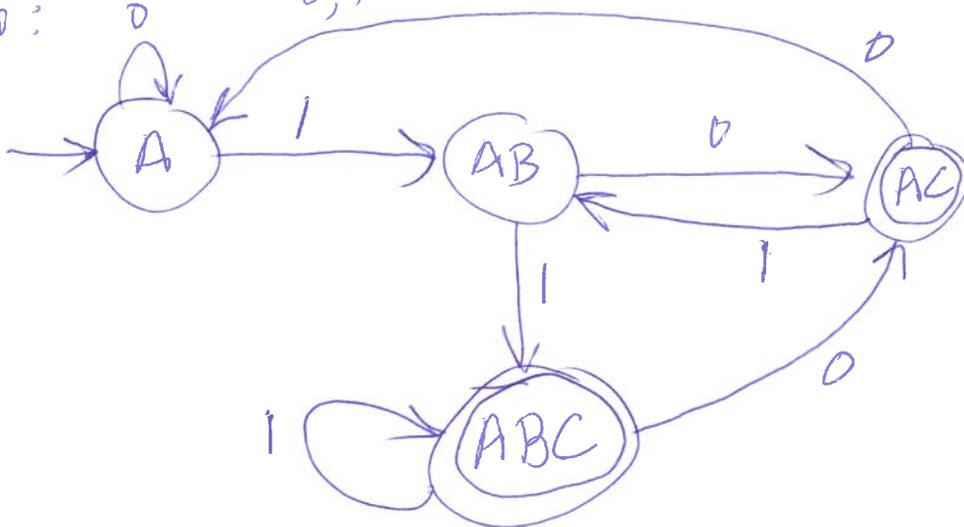
~~Proof~~ Then  $L(D) = L(A)$ .

Proof of correctness omitted. //

Ex. NFA:



DFA:

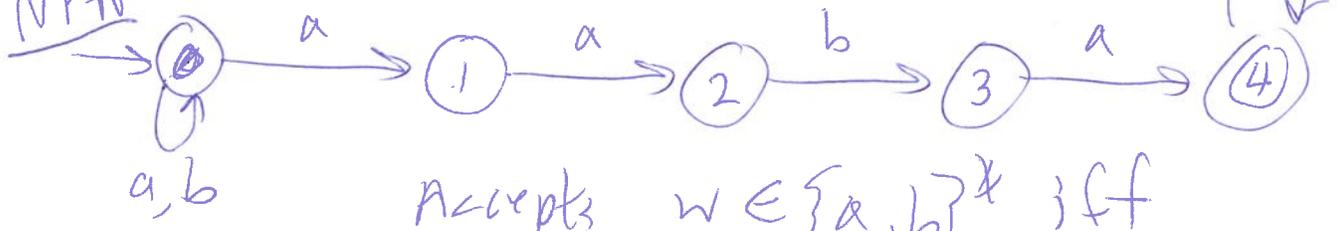


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NFA  $\rightarrow$  DFA example

(1)

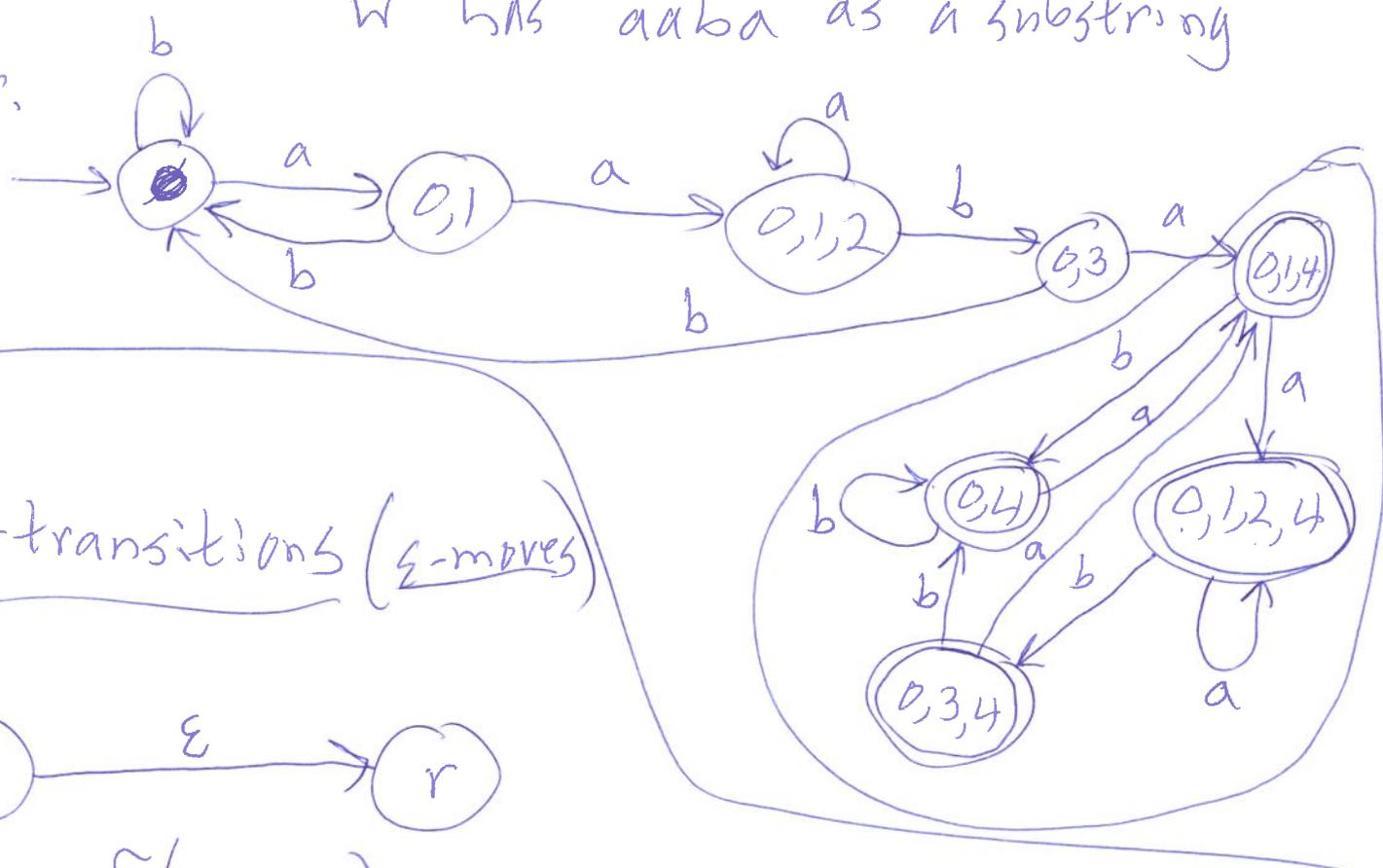
NFA



Accepts  $w \in \{a, b\}^*$  iff

$w$  has  $aaba$  as a substring

DFA:



$\epsilon$ -transitions ( $\epsilon$ -moves)



means  $\delta(q, \epsilon)$  contains  $r$

An  $\epsilon$ -NFA is an NFA that allows  $\epsilon$ -moves.

Def: An  $\epsilon$ -NFA is a 5-tuple  $\langle Q, \Sigma, \delta, q_0, F \rangle$  where  $Q, \Sigma, q_0, F$  are as with an NFA, and

$$\delta: Q \times (\underbrace{\Sigma \cup \{\epsilon\}}_{\text{strings of length 0 or 1}}) \rightarrow \cancel{2}^Q \quad (2)$$

Def. A (complete) comp. path of an  $\epsilon$ -NFA

$N = \langle Q, \Sigma, \delta, q_0, F \rangle$  on input  $w \in \Sigma^*$

is a sequence of states  $s_0, s_1, \dots, s_k \in Q$

where each  ~~$s_i \in \Sigma \cup \{\epsilon\}$~~

such that there exist  $w_1, w_2, \dots, w_k \in \Sigma \cup \{\epsilon\}$   
such that

- $w = w_1 \dots w_k$  (now  $k \geq |w|$ )

- $s_0 = q_0$

- For all  $i$ ,  $1 \leq i \leq k$

$$s_i \in \delta(s_{i-1}, w_i)$$

Say the path ends in  $s_k$ .  $N$  accepts  $w$

on  $w$  means there exists a complete comp. path ending in some accepting state ( $s_k \in F$ ).

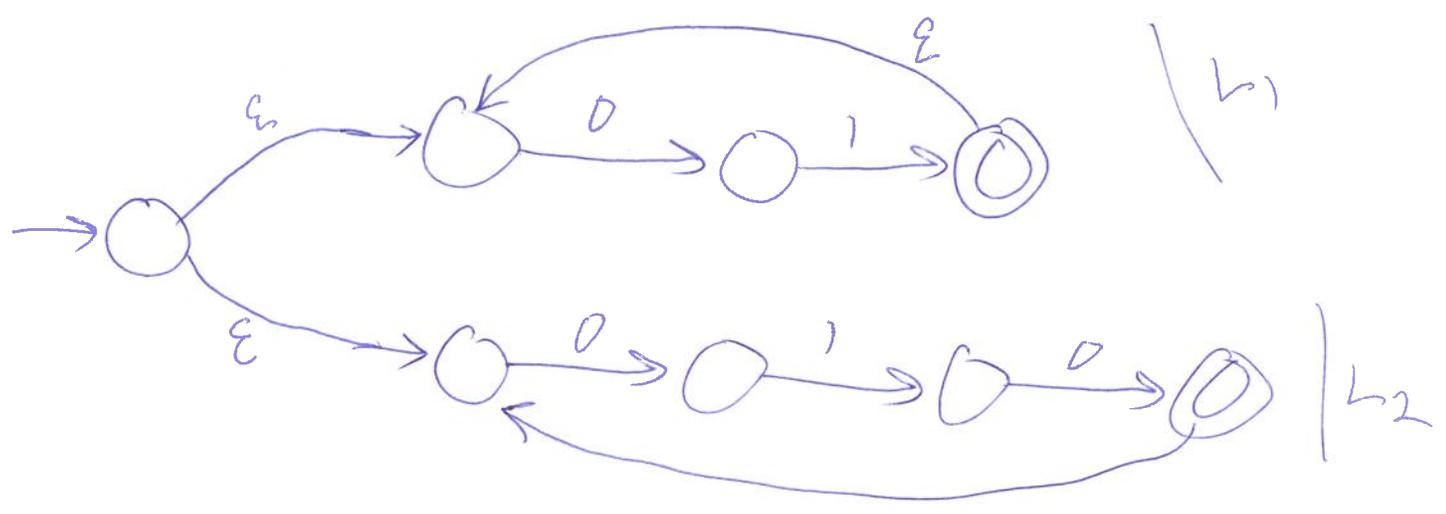
3

Ex:  $L = \{w \in \{0,1\}^* : w \text{ is either}$   
1 or more reps of 01  
or 1 or more reps of 010}

$L = L_1 \cup L_2$  where

$L_1 = \{ \dots 1 \text{ or more reps of } 01 \}$

$L_2 = \{ \dots 1 \text{ or more reps of } 010 \}$



$\epsilon$ -moves can be removed entirely, giving an equivalent NFA with no more states than the original  $\epsilon$ -NFA.

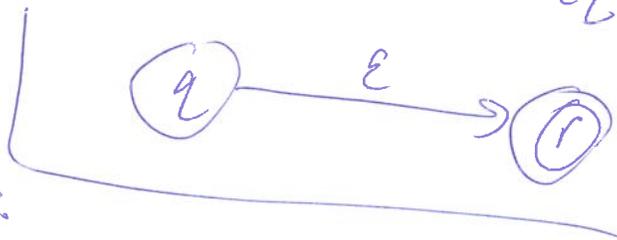
Theorem: For every  $\epsilon$ -NFA there exists an equivalent NFA with the same state set.

Idea: add new non- $\epsilon$  transitions to bypass <sup>(4)</sup> all  $\epsilon$ -moves, which then are redundant and can be removed.

Proof: Let  $N = \langle Q, \Sigma, \delta, q_0, F \rangle$  be any  $\epsilon$ -NFA.

Step 1: ~~while~~ while there exist states  $q, r \in Q$  such that  $q \notin F$  and  $r \in F$  and  $r \in \delta(q, \epsilon)$  do

make  $q$  accepting:



$$F := F \cup \{q\}$$

[bypasses  $\epsilon$ -moves at the end of an accepting path]

step 2: // bypass  $\epsilon$ -moves followed by non- $\epsilon$ -moves while there exist  $q, r, s \in Q$  ~~such~~ <sub>not necessarily distinct</sub> and  $a \in \Sigma$  such that



$r \in \delta(q, \epsilon)$  and  $s \in \delta(r, a)$  and  $s \notin \delta(q, a)$

do: Add  $s$  to  $\delta(q, a)$  :  $\delta(q, a) := \delta(q, a) \cup \{s\}$

Step 3: (only do after finishing steps 1 & 2): <sup>(5)</sup>

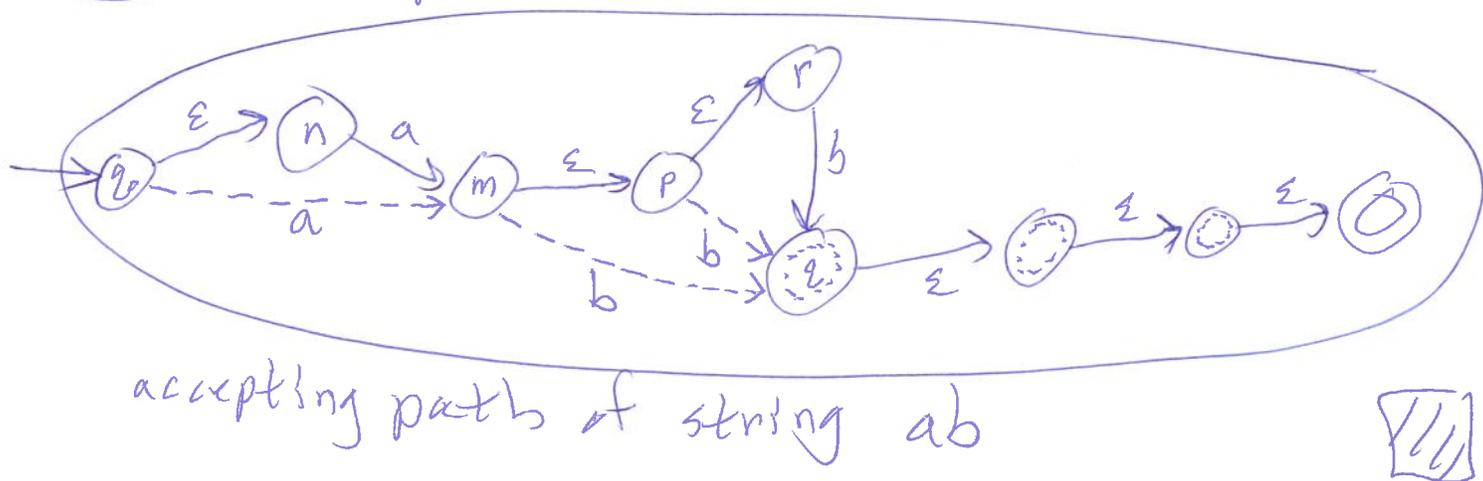
Remove all  $\epsilon$ -moves from  $N$ :

$$\forall q \in Q, \delta(q, \epsilon) := \emptyset.$$

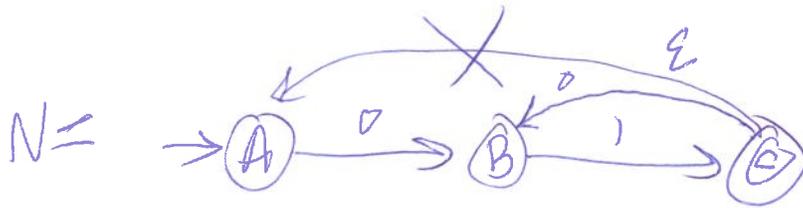
Observe: steps 1 & 2 don't cause any string to be rejected that was accepted by the original  $N$ . (~~it~~ only change states from rejecting to accepting and only add new transitions). Thus any accepting path in ~~the~~  $N$  <sup>before</sup> ~~after~~ steps 1 & 2 is an accepting path on the same string after steps 1 & 2.

Claim: Any string accepted by the original  $N$  is accepted by the  $N$  after step 3.

Idea (example): In original  $N$



EX:

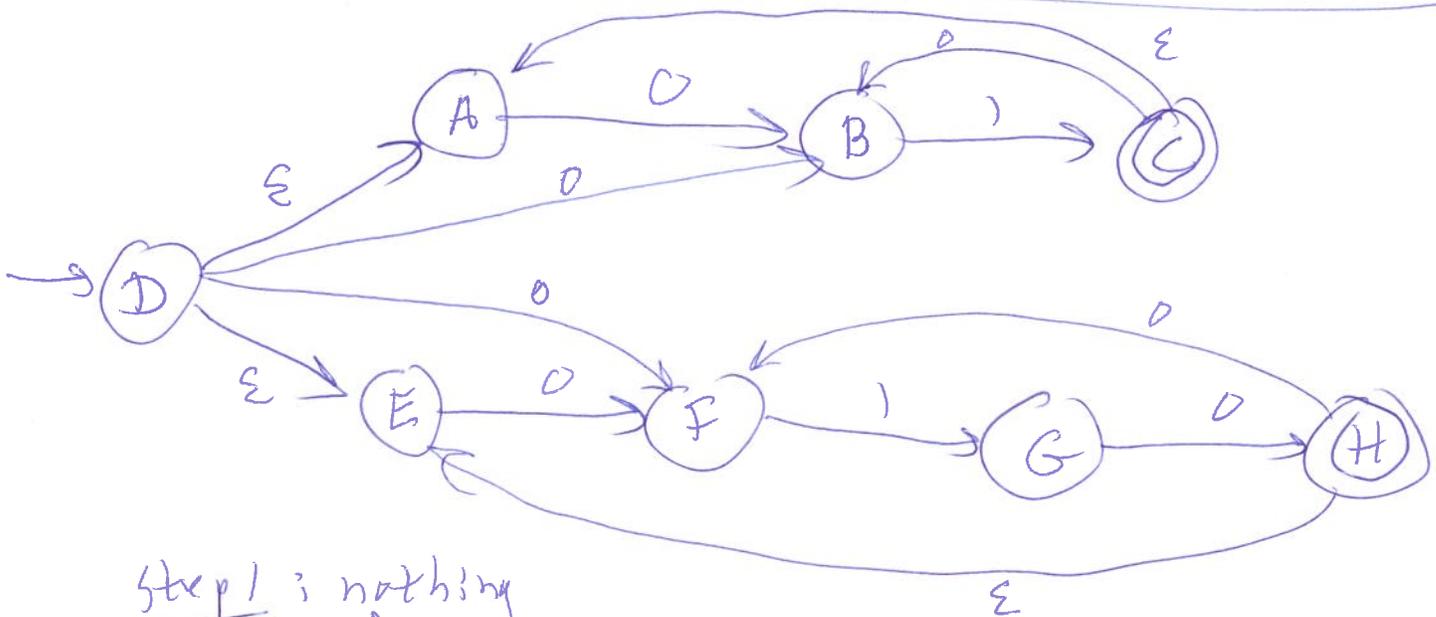
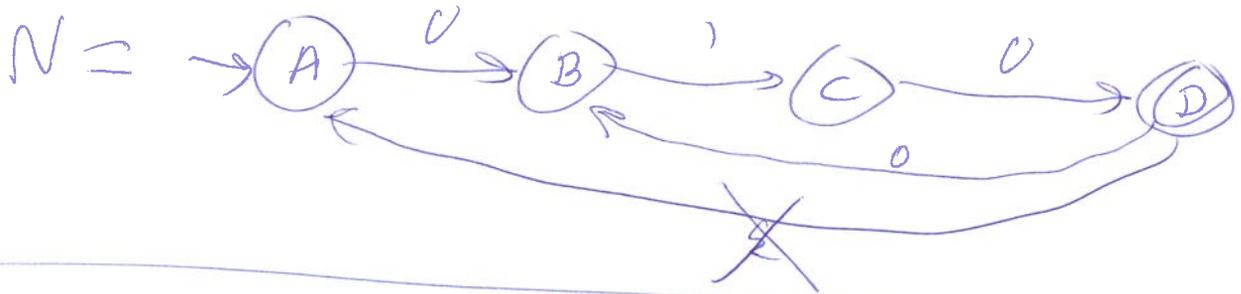
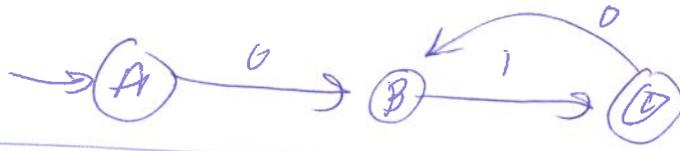


(6)

step 1: nothing to do.

step 2: add 0-transition from C to B  
nothing more to do.

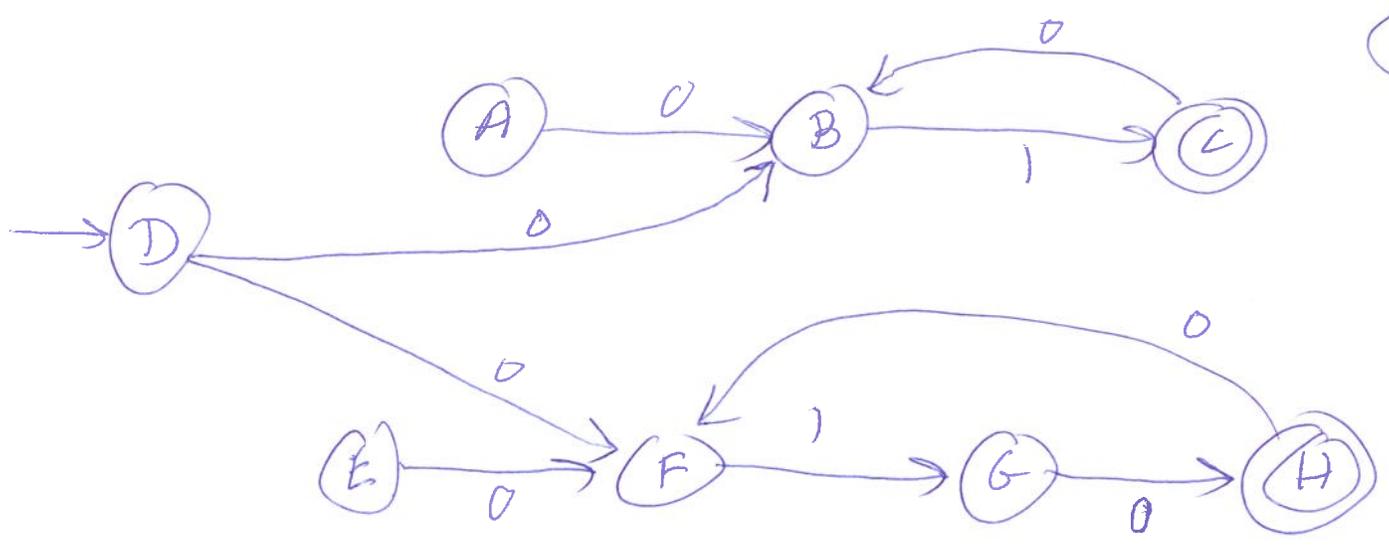
step 3: remove ε-move from C to A



step 1: nothing

step 2: <sup>add</sup>  $C \xrightarrow{0} B$ ,  $H \xrightarrow{0} F$ ,  $D \xrightarrow{0} F$ ,  $D \xrightarrow{0} B$

step 3:



Can remove A & E (unreachable) from D

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Two topics:

①

1. DFA minimization algo
2. Intro to regular expressions

### DFA minimization

(A) A DFA is sane if every state is reachable from the start state:

$A = \langle Q, \Sigma, \delta, q_0, F \rangle$  is sane  
iff  $\forall q \in Q, \exists w \in \Sigma^*, \hat{\delta}(q_0, w) = q$

A DFA that is not sane is not minimal.

1st step in DFA minimization: remove all state unreachable from the start state; result is an equivalent, sane DFA.

(B) Assume our input DFA is sane.

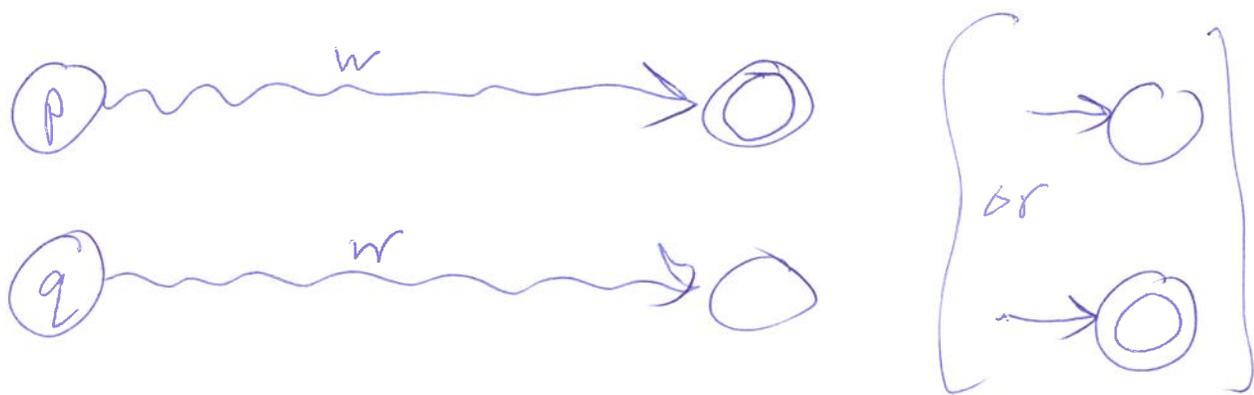
We find groups of mutually indistinguishable states, merge each group into a single state. (indist.)

Def: Let  $A = \langle Q, \Sigma, \delta, q_0, F \rangle$  be a DFA and let  $p, q \in Q$  be states of  $A$ .

Say that  $p$  &  $q$  are distinguishable (dist.)<sup>②</sup> if there exists some string  $w \in \Sigma^*$  such that one of  $\hat{\delta}(p, w)$  and  $\hat{\delta}(q, w)$  is accepting and the other rejecting. In this case, say that  $w$  distinguishes  $p$  from  $q$ .

[Future accepting behavior of  $A$  ~~depends on~~ differs between states  $p$  &  $q$  if  $w$  is ~~left~~ on the input.]  
 remaining

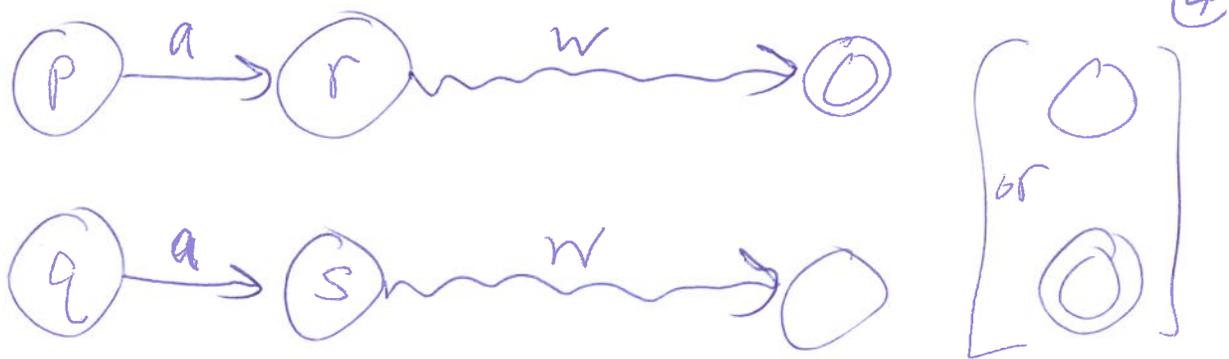
$p$  &  $q$  are indist. if they are not dist., i.e., if no string distinguishes them.



Setup for algo to find all distinguishable pairs of states:

Maintain a table  $T[\cdot, \cdot]$  2-dim each dim indexed by states  
 Initially,  $T[p, q]$  is blank for all  $p, q \in Q$ .





suppose  $T[r,s] == 'x'$

then  $\exists w, \hat{\delta}(r,w)$  is acc  
 $\hat{\delta}(s,w)$  is ~~rej~~ } or vice versa

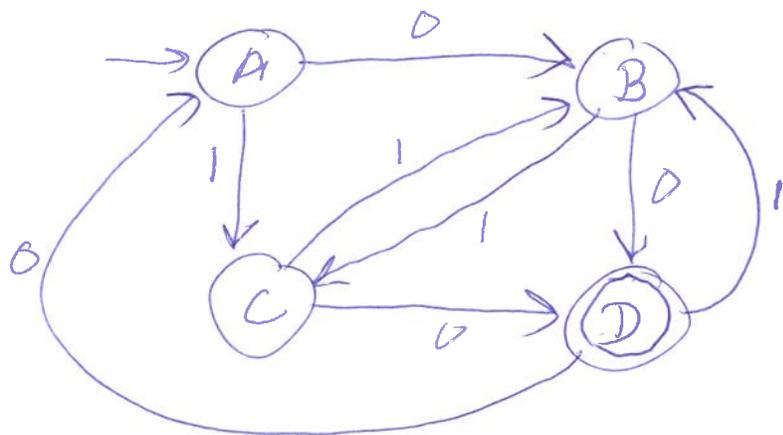
But then  $aw$  distinguishes  $p$  from  $q$ ,  
 so safe to set  $T[p,q]$  to 'x'

That's the whole algo to find all dist. pairs.

All dist pairs are found in steps (1) or (2)

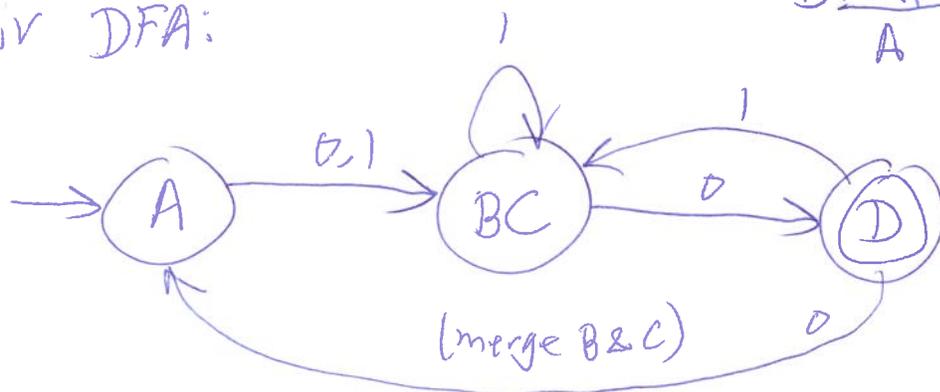
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Example:

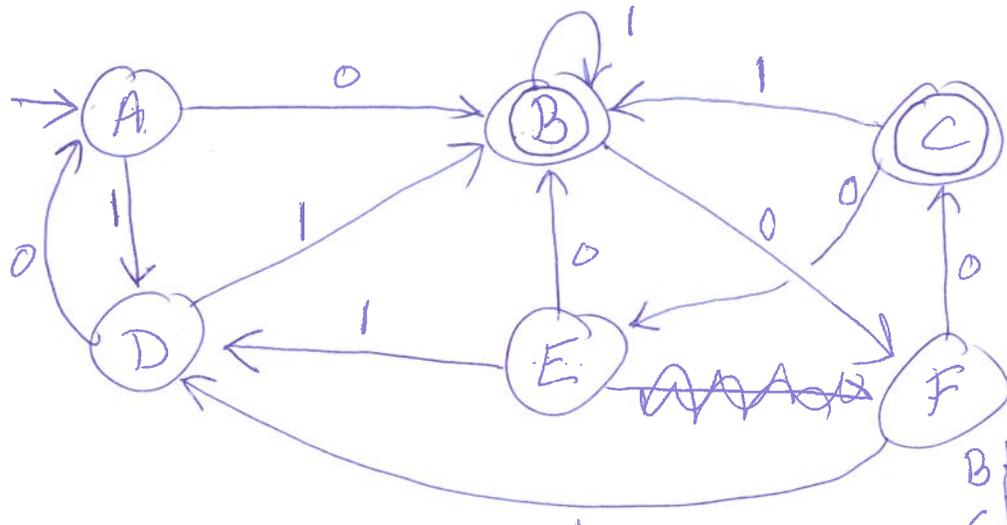


B	X		
C	X		
D	X	X	X
	A	B	C

Min equiv DFA:



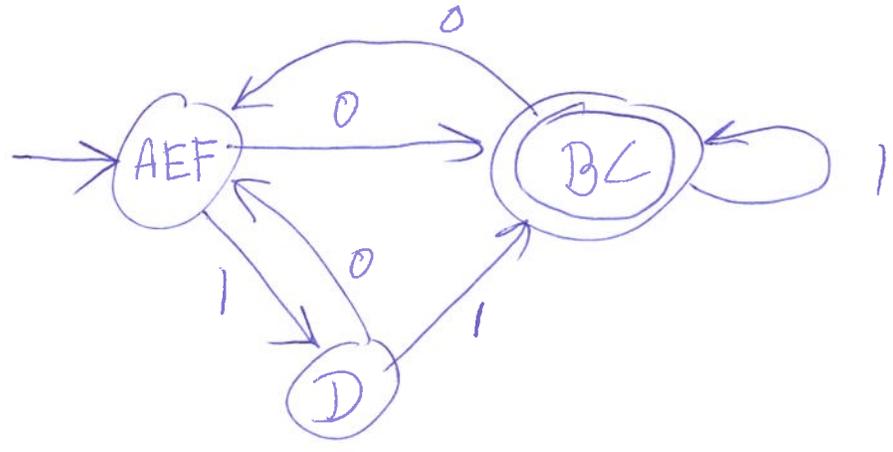
Ex:



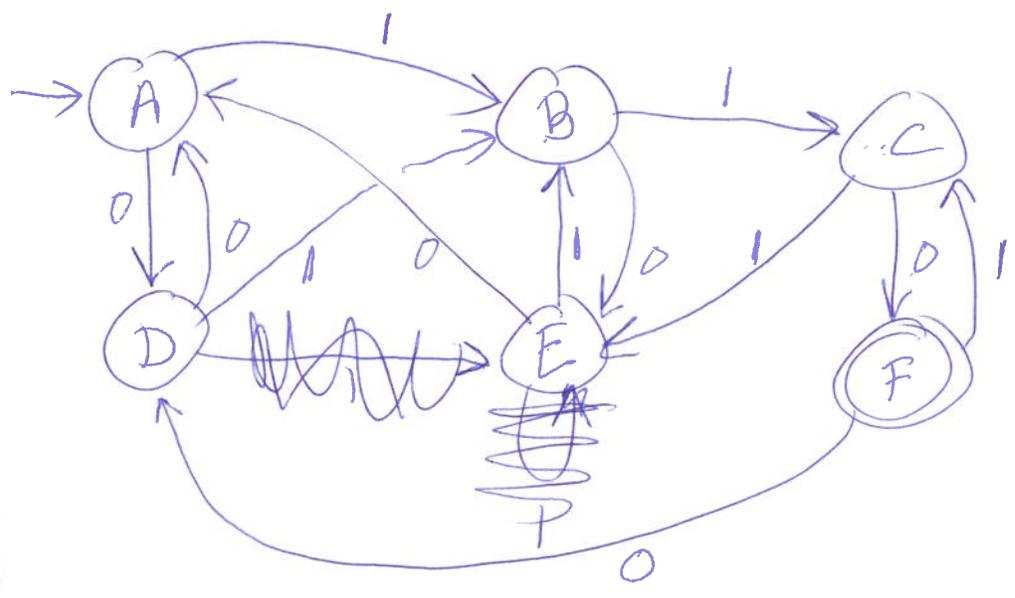
Merge: B & C, AEF

B	X				
C	X	O			
D	X	X	X		
E	O	X	X	X	
F	O	X	X	X	X
	A	B	C	D	E

Min DFA



Ex:



B	X				
C	X	X			
D		X	X		
E		X	X		
F	X	X	X	X	X
	A	B	C	D	E

Done (✓)